

FORUM of CLINICAL ONCOLOGY

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**Clinical practice
guidelines in oncology:
pros and cons**

**Neoadjuvant therapy
for organ-confined
prostate cancer**

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Contents

09/ Editorial

Clinical practice guidelines are here to stay

Vassilios Barbounis

Position Article

11/ **Clinical practice guidelines in oncology: pros and cons - First Part**

Constantin Kappas

Reviews

23/ **Neoadjuvant therapy for organ-confined prostate cancer**

Athanasios Athanasiadis, Georgia Lambrodinou, Fotios Zarzoulas

28/ **Pregnancy complicated by cancer. What do we know?**

Evangelos Voulgaris, Nikolaos Pavlidis



Contents (suite)

Consensus

37/ **Consensus on the better diagnosis, treatment and management of non-infectious pneumonitis**

Elias Athanassiadis, Vassilis Georgoulas, Charalampos Kalophonos, Paris Kosmidis, Aristotelis Bamias, Vassilios Barbounis, Ioannis Boukovinas, Dimitrios Pectasides, Epameinondas Samantas, Dimosthenis Skarlos;
On behalf of non-infectious pneumonitis study group

Case Reports

40/ **Leprosy reactivation and lepromatous gangrene associated with chemotherapy for advanced gastric cancer: a case report and review of the literature**

Michalis Lontos, Giannis Mountzios, Stergios Karapsias, Christos Tsironis, Nikolaos Kentepozidis

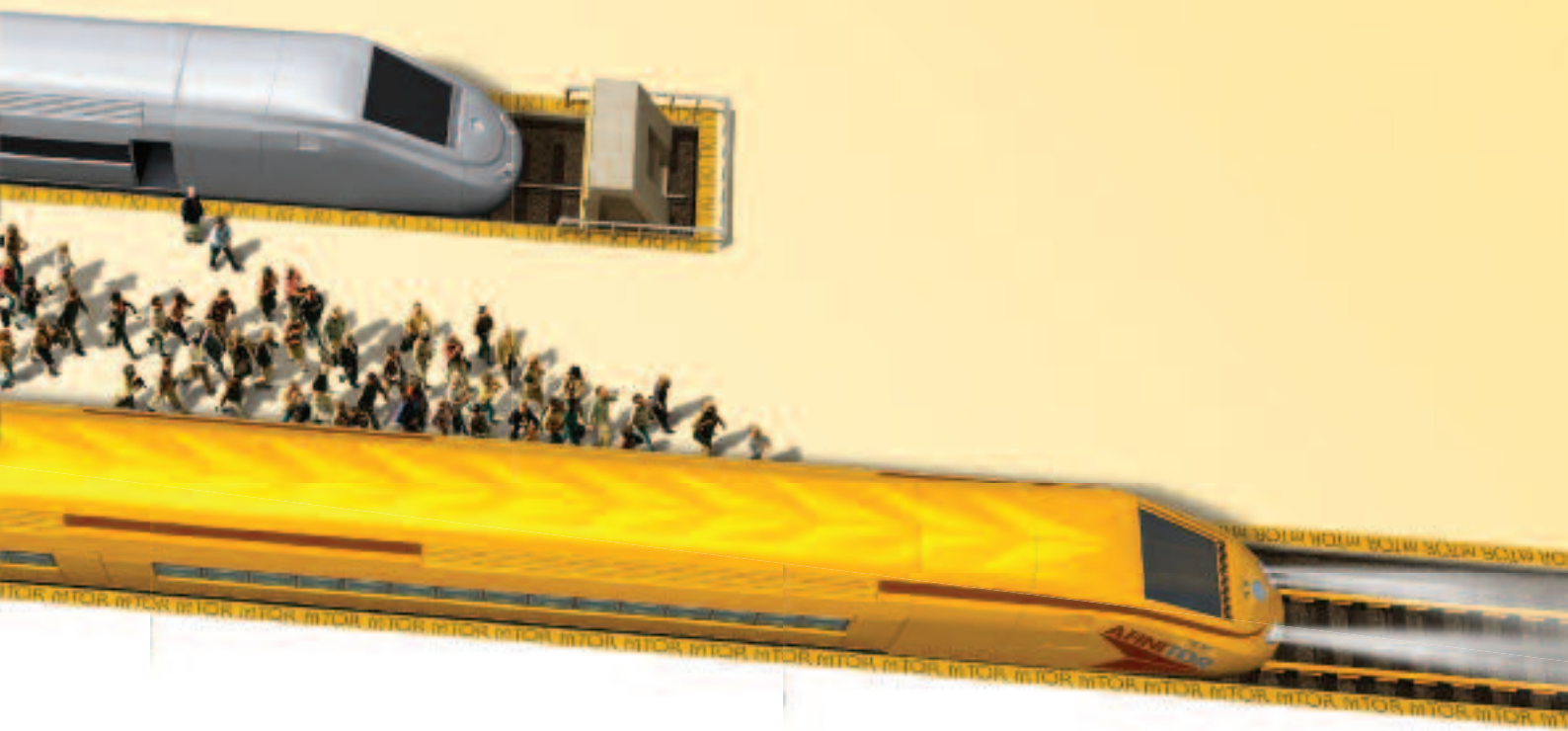
44/ **Recurrent multi-loculated symptomatic malignant pleural effusion after talc pleurodesis: drain and re-drain improve quality of life and patient survival**

Anna Tsiara, Lampriani Tsali, Antonis Valachis, Andreas Nearchou, Aggelos Panagopoulos, Dimitrios Koutsianas, Emmanouil Manos, Davide Mauri

48/ **Pancreatic metastasis from prostate cancer**

Georgia Milaki, Maria Georgiadou, Efthimia Manassaki, Ioannis Drositis, Georgios Georgiou, Evangelia Sfakianaki, Nikolaos Androulakis





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Clinical practice guidelines are here to stay

Editorial

Vassilios Barbounis

The current issue of FCO features a very interesting article by professor Kappas (*Forum of Clinical Oncology* 2011; 2(4):11-22), entitled "Clinical practice guidelines in oncology: pros and cons".

Undoubtedly, the vast accumulation of knowledge from clinical trials, besides its obvious benefits, is associated with some intrinsic problems. Additionally globalization plays a major role, with respect to fast information flow, and has direct impact in good clinical practice.

Elaboration of clinical practice guidelines in Oncology by international and national organisations or consensus meetings comes with multiple advantages –reported in detail in professor Kappas' article– both for patients and healthcare professionals, as well as for the state and social security organisations.

There are, however, conflicting opinions arguing that such recommendations and guidelines might not be appropriate for the individual patient; they may lag behind astute clinical judgment and experience, and might not carry on as much as needed in real time the progress in medical science and practice.

All above arguments, both positive and negative, are scrutinized and extensively discussed in the article. Given the size of the article, it will be published in two parts in two consecutive FCO issues.

We are certain that this issue is well timed and will be widely discussed. Clinical practice guidelines are here to stay. Their value is indisputable; the medical oncology community should make proper use of CPGs in order to maximize their value –i.e. to assist healthcare professionals, not to substitute them.



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Clinical practice guidelines in oncology: pros and cons - First Part

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ABSTRACT

Evidence-based clinical practice guidelines are widely used to promote effective and efficient healthcare. Clinical oncology practice guidelines are developed for a variety of purposes: to improve quality of care; patient outcomes; reliability of medical decisions and cost-effectiveness; to increase patient information and autonomy of choice; to disseminate best practices by use of standardized criteria; to facilitate training, research and education; to inform third parties; and to decrease practice variation, harm to patients, and professional misconduct. The ethical implications for guideline use are complex and far-reaching. However, practice guidelines can never substitute the clinical judgment of qualified healthcare professionals, and it is crucial not to be allowed to hinder the development of more effective treatment strategies in the management of cancer patients.

This work reviews the pros and cons of using guidelines in Oncology for patients, healthcare professionals, policy-makers, payers and managers. Moreover, it presents potential barriers to physician adherence to guidelines and their dependence on physician knowledge, attitudes and behavior. Finally, it examines the minimum requirements for a local group or national body to develop, adopt, review, appraise and evaluate guidelines for a specific clinical area and ways to disseminate and implement them.

Key words: clinical practice guidelines; cancer treatment outcomes; evidence-based medicine; quality of life.

INTRODUCTION

As many researchers describe, there is a sizeable gap between what could be provided to patients in optimal circumstances and what actually occurs in practice [1, 2, 3, 4]. This is more important for cancer patients due to cancer's high prevalence, and can reasonably be posited as responsible for a large number of avoidable cancer deaths.

The justification for changing a treatment approach could be based on different criteria, including the emergence of harmful treatment outcomes for individual patients when adhering to specific clinical recommendations, or the availability of new treatment interventions that improve clinical outcomes [5, 6, 7, 8].

A few decades ago, a clinician/oncologist was usually able to provide care to his patients or to change a treatment based on personal experience with the therapeutic agents available. This approach has become a much more stressing task in recent times as the number of available therapeutic modalities

and the amount of literature relating to these therapies have increased.

Research in oncology has resulted in a proliferation of information that has made it difficult to reach clinical decisions on the basis of the available scientific findings [9, 10, 11, 12, 13, 14, 15]. While this is generally a positive thing [16, 17, 18], unfortunately the literature is of varying quality [19, 20, 21, 22, 23].

Complying with the experience of a notable number of clinicians, CPGs could be defined as follows:

"Systematically developed statements to assist practitioner and patient decisions about appropriate healthcare for specific clinical circumstances"
[Institute of Medicine - USA, 24, 25].

In a broad sense:

- *"What the researchers call 'guidelines' are more like mandated treatment pathways" [26].*
- *"A related set of generalizations derived from past experience arranged in a coherent structure"*

to facilitate appropriate responses to specific situations" [quoted from 27, 28].

- "Guidelines (compared to textbooks) are more concerned with specifying treatment strategies for certain patient types, with healthcare quality, and the reduction of unjustifiable clinical variability and costs" [quoted from 27, 28].
- "Guidelines - like overviews - gather, appraise and combine evidence. Guidelines, however, go beyond most overviews in attempting to address all the issues relevant to a clinical decision and all the values that might sway a clinical recommendation. Like decision analysis, guidelines refine clinical questions and balance trade-off" [quoted from 27, 28].

The wide interest today in Clinical Practice Guidelines (CPGs) has its origin not only in the intrinsic desire of healthcare professionals to offer, and of patients to receive, the best care possible as described above but also in issues that most healthcare systems face: rising healthcare costs; increased demand for care; more expensive technologies; ageing population; marked variation in physician practices, hospitals, and geographical regions.

Evidence-based guidelines are seen by clinicians, payers, managers and policy makers as a solution to these problems and as a tool for making care more consistent and

efficient; a way of closing the gap between what clinicians do and the scientific evidence [15, 29, 30, 31, 32, 33, 34].

CPGs present advantages and disadvantages from a legal, political, social, financial and emotional point of view, but the overriding purpose of CPGs is to improve the quality of care for patients by decreasing inappropriate variation and expediting the application of effective advances in everyday practice [35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46].

In addition to the above, researchers [26, 47] surprisingly discovered that the public also finds arguments in favor of or against treatment guidelines.

Arguments in favor of guidelines include:

- Doctors have financial incentives to provide inappropriate care
- Following guidelines will improve care for most patients
- Doctors don't keep up with the literature
- Doctors are unaware of better approaches followed elsewhere

Arguments against are:

- No outside group should come between doctors and patients
- Doctors will be unable to tailor care to the needs of individual patients
- Guidelines are vulnerable to abuse and corruption

Table 1.
Outcomes of cancer treatment [10]*

Measures of cancer response	These include measures of tumor response (e.g. complete and partial response; response duration; time to progression), biomarkers (e.g. CA-125), and cancer-induced abnormalities in common blood tests (e.g. alkaline phosphatase) [7, 49].
Survival	Whether overall, disease-free, progression-free, or event-free, this is the most important outcome. The quality of survival and cost of maintaining or improving it must also be assessed. Disease-free survival is especially important in the adjuvant setting, as is progression-free survival in metastatic disease [49].
Toxicity	It reduces QOL and can be life-threatening. Both short- and long-term toxicity is vitally important, with the latter being particularly critical in children because of its effects on growth and development. Three toxicity aspects need to be evaluated: frequency, severity and duration [50].
Global QOL	Cancer-related QOL is a multidimensional concept that evaluates the impact of cancer and its treatment on the following components of patient life: <ul style="list-style-type: none">■ physical (symptoms commonly caused by cancer and the toxicities of treatment, e.g. daily life activities, walking, climbing stairs),■ psychological (effects of cancer and its treatment on cognitive function and emotional state, e.g. anxiety, optimism, depression) and■ social (effects of cancer and its treatment on interpersonal relationships, school, work, recreation). In order to achieve an outcome, QOL measures must be sensitive to clinically meaningful changes produced by treatment [51, 52, 53].
Cost-effectiveness	Important to consider when the benefits of treatment are modest and/or costs are high [54, 55, 56].

* There is no mention of patient satisfaction as it is increasingly used to evaluate the effectiveness of healthcare delivery, but it is not important in technology assessment and guideline development, which are shaped by evidence from clinical trials on the benefits and risks [57].

- Payers will use guidelines to control costs and ration care
- Guidelines can't keep up with the pace of medical innovation

This behavior also needs to be explained and/or changed. The emerged controversial views of CPGs [48] feed the discussion about the usefulness of educational programs on how to implement CPGs both to assist generalists/ oncologists in their daily clinical decisions and to support negotiations with politicians, administrators, insurance companies, etc.

OUTCOMES OF CANCER TREATMENT

The necessity of creating and applying CPGs originates from the duty to offer patients the best possible care. But best care of what? Patients, physicians, researchers, payers and policy makers all have different ideas about which outcomes of cancer are more important. Outcomes are defined [10] as the products, both good and bad, of cancer treatment and they are distinguished between cancer outcomes and patient outcomes (see also Table 1):

- *Cancer Outcomes* are the measures of cancer treatment effects (tumor response, biomarkers and cancer-induced abnormalities).
- *Patient Outcomes* are measures on the effect of treatment on patients, e.g. survival, toxicity and QOL. Patient outcomes should receive higher priority than cancer outcomes, but both are important in technology assessment and guideline development.

It must be pointed out that multiple outcomes should be taken into consideration because no single outcome adequately describes the results of cancer treatment. In

general, there is no minimum benefit above which treatments are justified; rather, benefits should be balanced against toxicity and cost. Based on the above, CPG benefits and harms can be juxtaposed.

CPGS BENEFITS, HARMS & DEFICIENCIES, DEBATABLE EFFECTS FOR PATIENTS, HEALTH PROFESSIONALS AND THIRD PARTIES

Several organizations have performed audits to determine whether guidelines promote adherence to treatment recommendations and improve quality of care [1, 16, 17, 39, 42, 58, 59, 60, 61, 62, 63]. Additionally, publication of CPGs and (at least minimum) acceptance of these "standards" of care by third parties, will improve access to and increase acceptance of medical interventions which have been established in order to result in more beneficial outcomes [64, 65], e.g. screening programs (colon, breast and cervix cancers); standard surgical staging procedures (early stage ovarian cancer); and adjuvant hormonal or chemotherapy (breast cancer).

The more guidelines providing evidence that can be applied to individual patients, the more useful they will be for real life clinical decision making [5, 66]. In a significant proportion of clinical situations, guidelines could become a *lingua franca* providing patients, practitioners, scientists, and purchasers with an opportunity to share information more effectively. However, various problems with guidelines and their development that can impede their optimal use and profit have been reported by relatively recent studies [19, 20, 67].

Table 2 presents the main advantages and disadvantages of CPGs for patients, physicians and third parties.

Table 2.

CPG advantages (■), disadvantages (■) and debatable effects (■) for patients, healthcare professionals and third parties

Quality of care

■ CPGs as audit tools for the improvement of clinical decisions quality

- *Outdated or ineffective practices*: they depose the beliefs of doctors accustomed to outdated practices; alert clinicians to interventions unsupported by good practice; reinforce the importance and methods of critical appraisal; and call attention to ineffective, dangerous, and wasteful practices.
- *Care appropriateness*: they offer explicit recommendations for clinicians who are uncertain about how to proceed; improve the consistency of care; and provide authoritative recommendations that reassure practitioners about the appropriateness of their treatment policies.
- *Condition management*: CPGs review evidence; weigh various outcomes, both positive and negative; make recommendations; and provide a coherent and integrated view on how to manage a condition [5, 68].
- *Monitoring*: healthcare institutions or organizations can use CPGs to monitor the quality of care provided by specialists or non-specialists dealing with the cancer patient population.
- *Reward rather than fees*: doctors and hospitals could be supported by appropriate CPGs and be paid to provide quality of care as well as for their patients' outcomes, rather than simply for rendering services (i.e. fee for service) [1].

■ Reduce morbidity and mortality and improve QOL

CPGs that promote interventions of proven benefit and discourage ineffective ones have the potential of reducing morbidity and mortality and improving QOL -at least for some conditions.

■ Justify elimination of unnecessary and inappropriate tests or procedures

Oncologists may find CPGs useful in explaining to cancer patients (and their families) why certain tests or treatments are not being used, e.g. outdated biochemical or radiographic evaluations; discredited surgical techniques (e.g. the routine use of radical mastectomy in early stage breast cancer); ineffective salvage chemotherapy for refractory cancers (e.g. third line cytotoxic drug therapy for non-small cell lung cancer) [64].

■ Answer specific clinical questions

Answer specific clinical questions that stem from daily practice [69]. This allows clinicians to identify what sort of evidence they need to search for [70].

■ The most important limitation: recommendations may be wrong for individual patients

The value judgment made by a guideline development group could be considered as the best for patients overall but may be inappropriate or wrong for individual patients [5, 30]. Thus, a practice guideline should provide a thorough and accurate description of the population on which a recommendation is based on to allow clinicians to identify potential similarities; a starting point for the application of any evidence to an individual patient should determine how the patient in question is *similar* to those of the study groups and not how they differ [71].

■ Risk of developing an oversimplified mentality of oncological care

- *Diversity of human cancers*: it is not possible to adequately address all (or even a majority of the large number of) clinical variations involving common oncological conditions. CPGs can never substitute the importance of clinical judgement.
- *Effort to make CPGs easily understood*: there will likely be a tendency to oversimplify complex medical situations. This may lead to the erroneous conclusion that healthcare providers with limited training in oncology can manage many cancer-related medical interventions by "simply following what the guidelines tell one to do" [64].

Quality of CPGs and Scientific Evidence

■ CPG objective evaluation of scientific knowledge

- *Manpower*: CPGs are drawn up by specialists selected by scientific societies and/or groups of oncologists.
- *Popularity*: they usually include most cited articles in high impact journals and most downloaded files from specialty Society websites [72].
- *Objectivity*: CPGs are based on an objective evaluation of the relevant literature [15, 73].

■ Evidence-based guidelines highlight gaps in the evidence

- *Assessment Review*: several agencies have developed guidelines for the treatment of the most common cancers, based on a hierarchy of evidence from clinical trials (e.g. ASCO, ESMO, NCCN, CCO, SOR) [34, 71, 74, 75, 76, 77, 78, 79]. These CPGs are based on a review of the available evidence from clinical studies, and some of these groups have also assessed the quality of the sources (reviews, systematic reviews and meta-analyses) used for the development of guidelines that provide the evidence [80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91].
- *Presence/Absence of Evidence*: the methods of guideline development that emphasize systematic reviews focus attention on key research questions that must be answered to establish the effectiveness of an intervention [92, 93]. Critical appraisal of the evidence identifies design flaws in existing studies. Recognizing the presence and absence of evidence can redirect the work of investigators and encourage funding agencies to support studies that satisfy this effectiveness-based agenda.

■ Lack of quality

- *Non-adherence to existing standards*: a noticeable percentage of CPGs published in the peer-reviewed medical literature does not quite meet established methodological standards and is either not based on the best evidence or highlights vested interests of specific parties, including health-care industry guidelines [19, 20, 33, 39, 67, 94, 95, 96, 97, 98, 99, 100, 101].
- *Use of low-quality scientific techniques*: many CPGs used informal techniques such as narrative summaries prepared by clinical experts, a type of review shown to be of low mean scientific quality and reproducibility [102]. Flawed CPGs harm practitioners by providing inaccurate scientific information and clinical advice, thereby compromising the quality of care resulting in suboptimal, ineffective, or harmful practices.
- *Lack of feasibility*: in a high number of CPGs, the consequences in terms of acceptance by patients, and the resources, staff, skills and equipment needed for implementation are not considered during the development process.
- *Inflexible CPGs*: these may be harmful by leaving insufficient room for clinicians to examine closely and take into account patients' personal circumstances and medical history.
- *Improperly constructed and worded CPGs*: they may mislead or confuse doctors and patients and disrupt the doctor-patient relationship.
- *Conflicting CPGs (from different professional bodies)*: they can confuse and frustrate practitioners.
- *Outdated recommendations*: they may perpetuate outmoded practices and technologies.

■ Lack of evidence

- *Lack of time, resources and skills*: guideline development groups often lack the time, resources, and skills to gather and scrutinize evidence. When evidence is missing, reliable procedures for including expert opinions and stakeholder preferences are required; such procedures are not present in many guideline development programs [103].

- *Bias and/or poor generalizability*: recommendations are influenced by the opinions, clinical experience and composition of the guideline development group. Tests and treatments that experts believe to be good for patients may in practice be inferior to other options, ineffective, or even harmful [104].
- *Interests before research reality*: there is a large grey area where expert opinions, practitioner and patient preferences as well as societal priorities are more important in the development of guidelines than research results [105].
- *"Binary or complex medicine"*: algorithms that reduce patient care into a sequence of binary (yes/no) decisions often do injustice to the complexity of medicine and the parallel and iterative thought processes inherent in clinical judgment.
- **Difficulties in the translation of evidence into recommendations for practice**
- *"Guidelines vs. Real Environment"*: guideline users deal with a more heterogeneous population of patients and more complex health-care processes than those covered in the original research [106].
- *Multi- or Mono-disciplinary care pathway*: the majority of cancer clinical research deals with separate diagnostic or therapeutic decisions in selected samples of patients, while the practice of cancer care usually involves dealing with complex multidisciplinary care processes in a variety of patient groups [107].

Education & Research

■ CPGs can serve as educational tools

Tools for trainees (oncology specialists & clinicians) and information sources for individual oncologists for CPE. Useful tool also for the young resident oncologist who benefits from an ethical guide that complements his/her own experience [108, 109]. Used as instruments for self-assessment or peer review [65] and to learn about gaps in performance.

■ Avoid duplication of efforts and encourage research collaborations

- *Fuse similar strategies*: despite concrete improvements in diagnosis and treatment of cancer, marked differences in cancer survival exist worldwide [110, 111]. Currently, guideline programs in different countries use similar strategies to achieve similar goals. This results in unnecessary duplication of effort; inefficient use of resources; and suboptimum management of activities. A shared guideline development process could reduce costs and duplication of effort and improve the dissemination and implementation of CPGs that comply with internationally accepted quality criteria [18, 82, 85, 87, 110, 112, 113, 114, 115].
- *Opportunities for research collaborations*: e.g. the Guidelines Development Cycle [81, 116], provides a framework for the shared development of evidence-based recommendations. The collaboration between the SOR project and the CCOPGI has enabled a better understanding of the inconsistencies that can result between guideline recommendations based on the same evidence [18, 117, 118].

■ CPGs as control arms for randomized trials

CPGs could include a number of alternatives for the management of specific clinical settings. Investigators designing trials of new therapeutic approaches could compare these strategies to those established by a group of experts as "standard of care".

■ Biased research

CPGs recommend interventions for which there is evidence of effectiveness; in practice, options are often restricted to pharmaceutical interventions. There are two factors that bias research towards producing evidence for pharmaceutical interventions: Firstly, the currently accepted hierarchy of evidence privileges randomized controlled trials. Pharmaceutical interventions are ideally suited to production of placebos for use in trials, in contrast with other interventions, such as counseling, physical therapies and lifestyle interventions. Secondly, pharmaceutical companies are major funders of research [108, 109].

■ Discouragement of scientific progress and research

- *Definitive level of care*: one of the most serious concerns with the development of CPGs is the potential perception that these documents describe a "level of care" which cannot -and should not- be improved upon. This type of thinking would seriously hinder the development of much needed innovative new diagnostic and treatment strategies which hold the potential to significantly improve the QOL and survival of individuals with malignant diseases. CPGs should not be considered as being the definitive statement on cancer care but a temporary "state of the art" which must be easily and quickly modified with advances in basic and clinical research [64].
- *Deviations from CPG norms*: CPGs which conclude that a procedure or treatment lacks evidence of benefit may be misinterpreted by funding bodies as grounds for not investing in further research and for not supporting efforts to refine previously ineffective technologies. Under such circumstances any major (or even minor) deviations from the guidelines might be considered as "experimental/ investigational" or "unproven" treatment, and may not be allowed.

Standardization, Consistency of Care and Health Inequalities

■ Improvement in the consistency of care

Patients with identical clinical problems receive different care depending on their clinician, hospital, or location. CPGs make it more likely that patients will be

taken care of in the same manner regardless of where or by whom they are being treated [15, 36, 119, 120, 121]. Uniformity of procedures also allows patients to better approach their uncomfortable situation.

■ Potential increase in health inequalities

- *Socioeconomic factors*: the effects of socioeconomic status on health are well-established but difficult to overcome. This is because access to health services, the ability to act on health advice, and the capacity to modify health risk factors are all influenced by the circumstances in which people live and work [122]. These effects have largely been ignored in clinical guidelines.
- *"Inverse Care Law"*: those most in need of care are the least likely to receive it ["Inverse Care Law - ICL", 123] and the quality of care received by people with lower socioeconomic positions is different than that of those with higher positions. CPGs have the potential to increase health inequalities by improving the health of the relatively health-advantaged more readily than that of the relatively disadvantaged [123, 124, 125, 126].
- *Patient Involvement*: variations in practice may be the result of active patient participation in choosing care options.

Ethical principles [108, 109, 127]

■ Positive contribution of CPGs

The use of guidelines must be ethically required, if using them supports ethical practice: Beneficence (act for the good of the patient); Non-maleficence (do no harm); Respect for patient autonomy (patients' right to make decisions about their care); and Justice (fairness in healthcare).

■ Economic assessments

Following a cost-effective guideline may forfeit the individual's best interests in favor of the greater good. Additionally, this population-focused approach may lead to inequitable results. E.g. risk factors identified in a national, evidence-based guideline for the prevention of a malignancy do not include ethnicity or socioeconomic status.

Medical and Scientific Malpractice

■ Negligence

- *Breach of duty and CPGs*: medical negligence is a combination of three essential elements. A plaintiff (the person bringing the action) must show that [quoted from 128]: 1) the defendant doctor owed the plaintiff a duty of care, and 2) the doctor breached this duty of care by failing to provide the required standard of medical care, and 3) this failure actually caused the plaintiff harm, a harm that should have been foreseeable and reasonably avoidable. CPGs could, in theory, influence the manner in which the courts establish the second element.
- *CPGs are not legal "gold standards"*: CPGs could be introduced to a court by an expert witness as evidence of accepted and customary standards of care, but they cannot be introduced as a substitute for expert testimony; courts are unlikely to adopt standards of care advocated in CPGs as legal "gold standards" because the fact that a guideline exists does not in itself establish that compliance with it is reasonable in the circumstances, or that non-compliance is negligent [98, 128].

■ Legal protection

- *Against medical practice*: as CPGs become accepted in the clinical community, acting in accordance with a clinical guideline could in itself be viewed as acceptable medical practice. CPGs can be employed to defend a charge of "medical malpractice" [129] in a setting where it is claimed that an adverse outcome was the direct result of specific medical interventions [25, 104, 127, 128, 130, 131, 132, 133, 134, 135, 136].
- *Reduce litigation*: extensive insurance coverage for malpractice has resulted in increasing litigation. Encouraging compliance with the standard of care will reduce healthcare costs by reducing the use of defensive medicine. Furthermore, the promise of lower rates of malpractice litigation will promote the development of and greater compliance with guidelines, which will in turn improve the quality of medical practice and reduce costs associated with inappropriate care [132, 134].

■ Malpractice litigation

CPGs could be potentially harmful to doctors as citable evidence for malpractice litigation and because of their financial implications [129, 130, 132, 133, 136].

■ Scientific misconduct

Results of clinical studies are not infrequently biased in favor of new diagnoses, treatments or drugs. This bias can be attributed to conflicts of interest: medical research scientists are willing to produce scientifically sound results but, at the same time, do not decline the support of potential clients. These results are often adopted uncritically by health economists [74, 137, 138].

Financial Costs & Public Policy

■ Service reimbursement

In some healthcare systems, CPGs prompt government or private payers to provide coverage or to reimburse doctors for services.

■ Use of healthcare resources

- *Optimizing value for money*: CPGs can increase evidence-based management and compliance with CPGs can decrease financial costs [55, 127] and

improve patient outcomes [89]. Third party payers could use these guidelines to deny payment for medical care when deemed inappropriate or unnecessary.

- *Reduce outlays:* CPGs are used to make decisions about whether or not to fund expensive new treatments. Certain CPGs reduces outlays for various procedures, e.g. hospitalization, prescription drugs, surgery.
- *Free up resources:* in a cash-limited healthcare system, CPGs that improve the efficiency of healthcare free up resources needed for other (more equitably distributed) healthcare services.
- **CPGs can help patients by influencing public policy**
 - *Call attention to specific problems/groups:* under-recognised health problems, clinical services, and preventive interventions to neglected patient populations and high risk groups.
 - *New services:* services that were not previously offered to patients may be made available as a response to newly-released guidelines.
 - *Ethical matters:* CPGs developed with attention to the public good can promote distributive justice, advocating better delivery of services to those in need.

■ **Improvement of public image**

Publicizing adherence to guidelines may ameliorate public image, sending messages of commitment to excellence and quality. Such messages can promote good will, political support, and (in some healthcare systems) revenue.

■ **Waste of limited resources**

The costs of randomized studies and of developing CPGs are considerable [139, 140]. Some CPGs, especially those developed by medical and other groups unconcerned about financing, may advocate costly interventions that are unaffordable or that cut into resources needed for more effective services [39]. Note that more than half of all published guidelines do not mention costs at all, and only a small percentage provides any quantitative cost estimates [20].

■ **Unreasonable cost cut**

CPGs can be quite narrowly interpreted, in a way that only those diagnostic tests or therapeutic maneuvers specifically included within the document will be considered as "appropriate and necessary" for the condition and, thus, eligible for payment. Additionally, interventions not specifically mentioned or discussed in the CPGs could be excluded from payment.

Involve Patients in Treatment Decisions

■ **Humane nursing perspective**

Patients are regarded as individuals who are able to reflect on their existence and make autonomous choices based on their own personal values and norms [71, 141, 142, 143].

■ **Enhance patient-doctor collaboration, empower patient position**

- *Publications:* published (in magazines, news reports, and internet sites) guidelines empower patients to make more informed healthcare choices and to consider their personal needs and preferences [39, 142, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153] in selecting the best option.
- *Patient autonomy:* respect for patient self-determination is a fundamental principle of medical ethics, demonstrated in practice by facilitating patient choice [108, 151, 154]. The patient feels more protected and safeguarded instead of at the mercy of the physicians and their personal decisions.

■ **Treatment decision involvement in the case of a serious illness**

There is a need and an expressed desire by physicians and patients to involve the latter in treatment decision making. Especially when a patient presents with a serious illness (e.g. cancer) and different treatment options exist, the gains of treatment should be weighed against possible adverse effects, or when outcomes are uncertain. Research in newly-diagnosed cancer patients [155], in palliative cancer care patients [156], and in a healthy population [157] indicates that a higher educational attainment is associated with a preference for a more active role in decision making [151].

■ **Practical concerns**

- *Eliciting patient preferences:* additional time is needed and difficulties arise in eliciting patient preferences, exacerbated by limited appropriate information to support patient involvement.
- *Lack of physician competences:* doctors may not have the appropriate competences and patient preferences may also differ from those of their doctors or evidence-based guidelines.
- *Retain the imbalance of power:* some doctors may wish to retain the imbalance of power between themselves and their patients, and the latter may be reluctant to share their preferences if they consider their doctor as more powerful and knowledgeable.

■ **CPGs do not systematically seek or integrate evidence on patient preferences**

- *Preference evidence:* a clear taxonomy for studies of patient preferences does not exist, as there is no simple and generally accepted method to synthesize evidence on preferences. Moreover, only 5% of CPGs cite a method of identifying preference evidence [144].
- *Risks for patients with special needs:* CPGs which ignore patient preferences trying to create more consistent practice patterns and reduced variation may come at the expense of reducing individualized care for patients with special needs [29].

Abbreviations

ACCC	Association of Community Cancer Centers, USA
AGREE	Appraisal of Guidelines, Research, and Evaluation
AHCPR	Agency for Health Care Policy & Research
ASCO	American Society of Clinical Oncology
BASO	British Association of Surgical Oncology
BSP	Breast Screening Program
CCO or CCOPGI	Cancer Care Ontario Practice Guideline Initiative
CME	Continuing Medical Education
CoCanCPG	Coordination of Cancer Clinical Practice Guidelines in Europe is a Coordinated Action under the ERA-Net (European Research Area - Network) scheme, www.cocancpg.eu
CPE	Continuing Professional Education
CPGs	Clinical Practice Guidelines
EMA	European Medicines Agency
ESMO	European Society for Medical Oncology
FDA	Food and Drug Administration - USA
FNCLCC	Fédération Nationale des Centres de Lutte Contre le Cancer
G-I-N PUBLIC	Guidelines International Network: promote ways to inform and involve the public in CPGs activity, www.g-i-n.net/activities/gin-public
MCRs	Minimum Clinical Recommendations
NCCN	National Comprehensive Cancer Network
NHS	National Health Service - UK
PROs	Patient-Reported Outcomes
QOL	Quality of Life
RCT	Randomized Controlled Trial
SIGN	Scottish Intercollegiate Guideline Network, www.sign.ac.uk/guidelines
SOR	Standards, Options & Recommendations
Third parties	Third party payers (e.g., insurance companies, employers, government), professional medical societies, and the courts

Terms [158, 69, 159, 160]

Academic detailing, educational outreach	Education of an individual physician by a healthcare professional, usually in the physician's office and most often in the area of prescribing.
Adoption	Healthcare provider commitment and decision to change their practices; the actual change in practices.
Conformance quality	The extent to which guidelines, once developed, are correctly and consistently applied [161].
Consumers	Patients and public.
Diffusion	Distribution of information and practitioners' natural, unaided adoption of policies and practices.
Dissemination	Communication of information to clinicians to improve their knowledge or skills; more active than diffusion, dissemination targets a specific clinical audience.
Educational intervention	Any strategy, program or maneuver intended to persuade physicians to change their performance and maintain their competence.
Evidence-Based Medicine (EBM)	Process of systematically finding, appraising and using contemporaneous research findings as the basis for clinical decisions. EBM is about asking questions, finding and appraising the relevant data, and harnessing information for everyday clinical practice [61, 69, 93, 162, 163].
Health-Related Quality of Life (HRQOL)	Broad multidimensional concept that usually includes self-reported measures of physical and mental health. HRQOL measures involve subjective patient assessment or evaluation of important aspects of well-being [164] that are affected by current disease and/or treatment. Prominent examples of cancer-related HRQOL tools are the EORTC QLQ-C30 and the Functional Assessment of Cancer Therapy General (FACT G) [165].

Implementation	Putting a guideline in place; active dissemination. It involves effective communication strategies and identifies and overcomes barriers to change by using administrative and educational techniques that are effective in the practice setting.
Inverse Care Law (ICL)	Principle stating that the availability of good medical or social care tends to vary inversely with the need of the population served. The law states that: <i>"The availability of good medical care tends to vary inversely with the need for it in the population served. This.... operates more completely where medical care is most exposed to market forces, and less so where such exposure is reduced."</i> [123].
Lack of awareness	Inability of a physician to correctly acknowledge the existence of a guideline.
Lack of familiarity	Inability of a physician to correctly answer questions about guideline content, as well as self-reported lack of familiarity.
Lack of outcome expectancy	Lack of expectation that a given behavior will lead to a particular consequence.
Opinion leaders, educationally influential clinicians	Clinicians identified by their colleagues in the community as being respected clinicians and effective communicators.
Patient-Reported Outcomes (PROs)	PROs have recently gained greater credibility with regulatory bodies aiming to standardize their use and interpretation in RCTs. PRO guidance from the EMEA and FDA has been valuable, and has raised the profile and active debate of PROs in oncology [145]. In oncological phase III RCTs and registration trials, PROs are increasingly used for providing information about HRQOL in patients who undergo new treatments. Both the FDA and EMEA increasingly appear to be willing to accept PROs in support of medicinal labeling claims or in the evaluation of medical products such as cancer drugs.
Providers	Healthcare professionals, including physicians.
Self-efficacy	Self-efficacy is the belief that one can actually perform a behavior.
Standards, Options & Recommendations (SOR) project	The SOR project was developed by the French National Federation of Cancer Centers [58] and the 20 French Comprehensive Cancer Centers (CRCC) in collaboration with specialists from French public universities, general hospitals, private clinics and scientific societies. SOR is a significant accomplishment with several lessons for guideline developers around the world [18]. SORs provide clinical algorithms as an aid for clinicians managing different clinical situations in daily practice [166].
Setting	Type of practice site implying aspects of workload, relevant healthcare team members, mix of patients and funding mechanisms.
Triability	Degree to which an innovation may be experimented with, on a limited basis.

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Neoadjuvant therapy for organ-confined prostate cancer

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ABSTRACT

Most of patients with organ-confined prostate cancer are treated successfully and can be cured with definitive local therapy (radical prostatectomy or radiation therapy) but approximately 30%-60% of them will finally experience local or incurable systemic relapse. Patients with advanced clinical stage (T2c-3), and/or serum prostate-specific antigen (PSA) levels ≥ 20 ng/mL, or high-grade tumors (Gleason score 7-10) are at higher risk of disease relapse and death, despite the implementation of local therapy. Neoadjuvant systemic therapy could theoretically eradicate the occult locally or disseminated micrometastatic disease and improve cure rates of prostate cancer patients with unfavorable prognosis.

The present article reviews the available data regarding androgen deprivation therapy and chemotherapy in the neoadjuvant setting, as well as the current role of this approach in clinical practice and future perspectives.

Key words: prostate cancer; neoadjuvant therapy; hormonal therapy; radical prostatectomy; radiation therapy.

INTRODUCTION

Organ-confined prostate cancer can be treated with curative intent with radical local therapy, prostatectomy or radiation therapy, yet a subset of patients with adverse features, such as high-grade tumors (Gleason score ≥ 7), high baseline PSA level ≥ 20 ng/mL, and/or advanced clinical stages (T2c-3) have a higher risk for biochemical, local or systemic relapse; the former ultimately leading to the latter. Local therapy is not sufficient to guarantee cure for the majority of patients with unfavorable prognosis, therefore a combination of effective local therapy with an active systemic therapy could probably increase the disease cure rate [1, 2, 3].

Patient groups of low, intermediate or high risk should be classified accordingly prior to radical treatment, using T-stage; PSA value; and Gleason score, corresponding to rates of disease-specific survival [4, 5].

Predictive variables have been combined in useful and accurate pretreatment nomograms [6, 7].

Based on pretreatment assessment, patients with less favorable prognosis can be selected as candidates for multimodality therapeutic approach.

Relapse of prostate cancer after radical local treatment could be explained by the presence of occult micrometastatic disease at the time of diagnosis, or by inadequate implementation of local therapy.

The concept of neoadjuvant systemic therapy is based on the possibility of eradication of all subclinical local or systemic disease prior to definitive local therapy. Theoretical advantages of the neoadjuvant therapeutic approach are: disease downstaging and consequential increase of the number of patients, who could effectively receive local treatment; immediate assessment of disease responsiveness; acquisition of prognostic and predictive information; and rapid evaluation of the implemented treatment's effectiveness.

Conventional process of phase I to phase III trials for definitive evaluation of the efficacy of a promising therapy is time-consuming, and the rapid evaluation of newer therapies is a crucial issue in cancer therapeutics. Furthermore, phase I trials are conducted by enrolling patients with significantly advanced, heavily pretreated disease -a population that may in fact be biologically different from patients with early-stage disease. The neoadjuvant therapeutic approach offers an attractive model for the evaluation and development of newer

drugs in prostate cancer and allows collection of pre- and post-treatment tumor tissue for translational research.

Difficulties related to the neoadjuvant therapy are: accurate and objective evaluation of organ-confined disease and the assessment of neoadjuvant therapy efficacy based on pathological criteria, since complete response is a rather rare outcome.

The difficulty in accurately evaluating disease response necessitates the use of surrogate endpoints. The effect of neoadjuvant therapy in the case of radical prostatectomy can be assessed by the rate of negative excision margins; the rate of organ-confined disease; and lymph node negativity.

The evaluation of neoadjuvant systemic therapy response is even more difficult and less accurate after radiation therapy. Newer imaging modalities, such as endorectal magnetic resonance imaging and magnetic resonance spectroscopy may provide a more precise assessment of tumor volume and response to treatment [8].

NEOADJUVANT ANDROGEN DEPRIVATION THERAPY (ADT)

Androgen deprivation therapy is the cornerstone of advanced prostate cancer treatment, because of the androgen-dependent growth of the vast majority of prostate cancer cells. ADT has been studied in the neoadjuvant setting, as induction therapy before radical prostatectomy and external beam radiation therapy as well.

NEOADJUVANT ADT AND RADICAL PROSTATECTOMY

Initially the role of the ADT in the neoadjuvant setting was investigated as induction therapy before radical prostatectomy, mainly because the immediate evaluation of induction treatment effects is feasible by objective pathological assessment of tissue specimen after prostatectomy. Response evaluation is more complicated when external beam radiation therapy is the local therapeutic approach.

Studies on neoadjuvant ADT before radical prostatectomy used various efficacy endpoints such as rate of positive surgical margins; change in tumor volume as assessed by imaging methods; pathological changes; rate of objective responses; and biochemical response to therapy.

Klotz *et al.* [9] in a randomized study which enrolled 213 prostate cancer patients with T1b - T2c disease, compared the neoadjuvant therapy with cyproterone acetate for 3 months before radical prostatectomy to prostatectomy alone and found lower rates of positive surgical margins for the neoadjuvant therapy group (27.7% vs. 64.8%, $p=0.001$), but no difference in biochemical relapse between the two groups. Similar results were reported by Soloway *et al.* [10] and Aus *et al.* [11] in two randomized trials comparing the addition of 3 months of neoadjuvant therapy with leuprolide plus flutamide and triptorelin, respectively to radical prostatectomy alone.

In a large randomized trial conducted by Schulman *et al.* [12], 402 prostate cancer patients with T2-3N0M0 disease were

randomized to 3-month ADT with goserelin and flutamide prior to radical prostatectomy or to radical prostatectomy alone. Pathological downstaging occurred in 15% and 7% of cases in the preoperatively treated group and in the direct radical prostatectomy group, respectively ($p<0.01$). The rate of negative surgical margins also favored the group of neoadjuvant ADT group, but this advantage did not translate into a significantly better PSA progression free rate ($p=0.18$). However, when evaluating local control rate in the subset of patients with clinically T2 tumors, the authors reported a statistically significant lower local recurrence rate for neoadjuvant ADT group (3% vs. 11%, $p=0.03$).

In these studies, the higher rate of negative surgical margins and the downstaging effect of the neoadjuvant ADT unfortunately did not lead to a clinically significant benefit. This observation could be due to greater sensitivity of tumor cells in the prostate gland, while the disseminated prostate cancer cells are less sensitive to the androgen ablation or to the fact that a brief course of 3-month ADT is unable to eradicate the occult systemic disease. The concept that a short course of ADT is unable to eradicate the extraprostatic disseminated disease is supported by the observations of the small case control study conducted by Wood *et al.* [13]. In this study, 60 patients with cT2b-c or T1c-2a disease and PSA ≥ 10 ng/mL, were analyzed for the presence of disseminated tumor cells (DTCs) in bone marrow specimens by reverse transcriptase polymerase chain reaction amplification (RTPCR) of the PSA mRNA. Thirty-one patients were treated with neoadjuvant ADT prior to radical prostatectomy and 29 patients with prostatectomy alone. Patients preoperatively treated with ADT had a higher probability of having organ-confined disease (58% vs. 24%, $p=0.03$). However, in the neoadjuvant group, 46% and 28% of patients with extraprostatic and organ-confined disease, respectively, were RTPCR positive ($p=0.29$). For patients who were RTPCR positive, 45% of the neoadjuvant group had organ-confined disease, compared to 6% in the radical-prostatectomy-alone patients ($p=0.018$). This data suggests that in a subset of patients from the neoadjuvant group the disease was converted to organ-confined, without eliminating the bone marrow cancer cells. ADT before radical prostatectomy probably decreases the occurrence of extraprostatic disease, but cannot eradicate disseminated prostate cancer cells. This hypothesis may partially explain why hormonal therapy before radical prostatectomy does not improve disease-free survival.

NEOADJUVANT ADT AND RADIATION THERAPY (EBRT)

The combination of ADT with radiation therapy is promising. Preclinical data favors neoadjuvant androgen blockade prior to radiation therapy. Zietman AL *et al.* conducted a study evaluating the best sequence between androgen suppression and radiation therapy. The androgen-dependent Shionogi adenocarcinoma allografts in athymic mice were significantly more sensible to radiation, when orchiectomy was implemented prior to radiation (neoadjuvant therapy), in comparison

to orchiectomy after radiation (adjuvant therapy) [14].

Large phase III trials have evaluated the role of ADT before and during definitive radiation therapy.

The RTOG 8610 trial included 456 patients with T2-4, any N (1988 American Joint Committee on Cancer TNM staging system) disease, which were randomly assigned to receive combined ADT consisted of two months of goserelin and flutamide before EBRT (neoadjuvant) and concurrently with EBRT or to receive EBRT alone. The study was powered to detect a difference in overall survival. Ten-year overall survival estimates (43% vs. 34%) and median survival times (8.7 vs. 7.3 years) favored neoadjuvant ADT and EBRT; however these differences did not reach statistical significance ($p=0.12$). There was a statistically significant improvement in 10-year disease-specific mortality (23% vs. 36%; $p=0.01$), distant metastasis rate (35% vs. 47%; $p=0.006$), disease free survival (11% vs. 3%; $p<0.0001$), and biochemical failure (65% vs. 80%; $p<0.0001$) on addition of neoadjuvant ADT to EBRT [15, 16].

Laverdière J *et al.* randomized 120 prostate cancer patients with clinical stage T2-4, between EBRT alone; 3 months of neoadjuvant anti-androgen therapy with LHRH-agonist and flutamide prior to EBRT; and a third group receiving combination therapy 3 months before, during, and 6 months after EBRT. Patients treated with neoadjuvant androgen blockade had a significantly lower rate of positive biopsies at 12 and 24 months after the end of radiation therapy as compared to those treated with radiation therapy alone [17].

To date, RTOG 9413 is the only study that addressed certain issues regarding volume and sequencing of radiation (RT) and hormone therapy (HT) [18]. In this study 1,323 patients with localized prostate cancer, PSA ≤ 100 ng/mL, and an estimated risk of lymph node involvement of 15% were randomly assigned to whole pelvis irradiation (WP RT) and neoadjuvant and concurrent hormonal therapy (NCHT), pelvic only irradiation (PO RT) and NCHT, WP RT and adjuvant hormonal therapy (AHT) or PO RT and AHT. Patients treated with NCHT experienced a 4-year PFS of 52% versus 49% for AHT ($p=0.56$). WP RT + NCHT improved significantly PFS compared with PO RT + NCHT, PO RT + AHT, and WP RT + AHT, suggesting that there is a favorable biological interaction between WP RT and NCHT.

In a retrospective study, the addition of a short course of neoadjuvant ADT to transperineal interstitial permanent brachytherapy failed to show an improvement in PSA-relapse-free survival in the matched-pair analysis [19]. The lack of benefit from NCHT when combined with PO RT reported by the RTOG 9413 study could probably explain the observed lack of benefit in the addition of neoadjuvant ADT in patients receiving brachytherapy.

NEOADJUVANT CHEMOTHERAPY BEFORE DEFINITIVE LOCAL THERAPY

Before the 1990s, objective response rates with available chemotherapeutic agents in the treatment of advanced

prostate cancer were disappointing. Besides, the difficulty in defining target lesions in advanced prostate cancer encumbered the development of chemotherapy in this disease, and imposed the use of appropriate surrogate endpoints in phase II trials. Tolerability of systemic chemotherapy in prostate cancer was also of concern, since most patients are elderly and many have comorbidities [20].

Tannock *et al.* comparing in a phase III trial the combination of docetaxel plus prednisone to mitoxantrone plus prednisone in hormone-refractory prostate cancer patients showed that docetaxel, given every three weeks, was associated with increased median survival (18.9 months), higher rate of more than 50% decrease in the serum PSA, and improved quality of life [21].

Increasing evidence that prostate cancer is from its onset a polyclonal disease, with varying degrees of hormone sensitivity, provides a theoretical basis for the evaluation of chemotherapy in the neoadjuvant setting.

Today there are no phase III trials investigating the role of cytotoxic therapy prior to radical therapy, and only small phase II studies are available. Common finding of studies on neoadjuvant chemotherapy prior to radical prostatectomy was the lack of pathological complete remissions.

Hussain MA *et al.* studied the combination of docetaxel (every 21 days) plus short course of estramustine (days 1 to 3) in 21 prostate cancer patients selected on one or more of the following criteria: clinical stage T2b or greater; PSA ≥ 15 ng/mL; and/or Gleason score of 8 to 10. Three to six cycles of chemotherapy were followed by local therapy, radical prostatectomy or EBRT -as deemed appropriate. Induction chemotherapy was well-tolerated and feasible with promising results. Ten patients underwent radical prostatectomy, with negative surgical margins in 7 of them, and 11 received EBRT with negative pre-radiotherapy biopsies in 2 [22].

Febbo PG *et al.* enrolled 19 patients with high-risk localized prostate cancer (Gleason score of 8 to 10, PSA >20 ng/mL, and/or clinical stage T3) in a pilot trial to determine the clinical, pathological, and molecular effect of neoadjuvant chemotherapy with docetaxel.

Therapy consisted of weekly docetaxel (36mg/m^2) for 6 months, followed by radical prostatectomy. All patients were monitored with serum PSA measurements, and endorectal magnetic resonance imaging (MRI). Frozen tumor specimens were also collected for microarray analysis [23].

Chemotherapy was well-tolerated, PSA declined by $>50\%$ in 11 of 19 patients and endorectal MRI showed tumor volume reduction of at least 25% in 13 of 19 patients and at least 50% in 4 patients. Sixteen patients completed chemotherapy and had radical prostatectomy, but no patient achieved pathological complete response. Microarray analysis identified coordinate upregulation of genes involved in androgen metabolism associated with docetaxel therapy. Specifically, RNA expression of genes that decrease cellular levels of

bioactive androgens was proportionally increased in response to chemotherapy.

The authors hypothesized that prostate cancer cells, surviving docetaxel therapy, altered their androgen metabolism by lowering the availability of active androgens; divided less often; and were less sensitive to the anti-mitotic effects of docetaxel's microtubule-stabilizing properties. The observed alteration of androgen metabolism as a mechanism of resistance to docetaxel raises some concern on combining androgen ablation with docetaxel, but such findings are too preliminary to reject the combination of docetaxel with anti-androgen therapy [24].

In a recent study, Darshan MS *et al.* investigated the association between androgen receptor (AR) subcellular localization in circulating tumor cells (CTCs) and patient clinical response to chemotherapy with taxane. Analysis of CTCs isolated from the peripheral blood of hormone refractory prostate cancer (HRPC) patients receiving taxane chemotherapy, revealed a significant correlation between AR cytoplasmic sequestration and clinical response to therapy. These results indicate that in HRPC patients, taxanes act at least in part by inhibiting AR nuclear transport and signaling following microtubule stabilization [25].

The impact of neoadjuvant ADT combined with docetaxel chemotherapy on pathological and long-term outcomes is

still unknown. Neoadjuvant therapy followed by prostatectomy is feasible and provides a paradigm for evaluating the activity, mechanism of action and resistance to new treatments [26, 27].

CONCLUSION

To optimize the therapy of high-risk localized prostate cancer, neoadjuvant systemic therapy before a definitive local one is under active evaluation.

Neoadjuvant hormonal therapy with radiation therapy is promising, increases significantly both clinical and biochemical progression free survival, and probably the overall survival in subsets of patients. The optimal duration of neoadjuvant ADT needs to be further defined.

In contrary, neoadjuvant ADT before radical prostatectomy does not improve survival parameters, despite the increase in the rate of organ-confined disease and the rate of negative surgical margins. It is still unclear why patients undergoing radiation therapy benefit from neoadjuvant ADT while patients with prostatectomy do not.

Chemotherapy as induction before radical prostatectomy is feasible and its impact on long-term clinical outcome, as well as its pathological and biological effects, are investigated by ongoing phase III clinical trials.

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Pregnancy complicated by cancer. What do we know?

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ABSTRACT

Background: As most women today tend to delay childbearing, the complex situation of cancer in pregnancy has become more frequent.

Patients & Methods: Review of literature on the issues of diagnosis, staging and treatment in pregnant patients.

Results: Due to the paucity of large/randomized trials and the relatively limited experience, cancer management in pregnancy is challenging and requires increased awareness. As in non-pregnant patients, every effort should be made to provide the maximal benefit and best prognosis to the pregnant patient. In most cases, in order to avoid any harm to the fetus, different diagnostic approaches should be incorporated and treatment should be tailored to each pregnant woman. Patients should be properly informed and their wishes and beliefs respected.

Conclusion: Treatment of cancer during pregnancy with normal fetal outcome is feasible but should be executed by experienced specialists using strict protocols. The cooperation of multidisciplinary teams including medical and radiation oncologists, surgeons, obstetricians, neonatologists and experienced nursing staff is necessary to provide optimal care for the patient with minimal harm to the fetus..

Key words: cancer; pregnancy; diagnosis; treatment.

INTRODUCTION

Diagnosis of cancer during pregnancy is a relatively rare phenomenon with an incidence of approximately 1 in 1000-1500 pregnancies, resulting in 3000-5000 new patients a year in Europe [1]. The physiological changes during pregnancy require a different diagnostic and therapeutic approach to patient treatment so as to achieve maximal benefit for the mother with minimal harm to the fetus. As women tend to delay childbearing, the incidence of gestational cancer will increase over the next years. In this literature review we present the current data on the complicated issues of diagnosis, management and outcome of cancer diagnosed during pregnancy.

DIAGNOSTIC WORK-UP IN PREGNANT WOMEN WITH CANCER

As with any other patient, a detailed history and a thorough physical examination should be the basis for the diagnostic work-up in pregnant women. The clinical presentation is not different from those of non-pregnant

patients but, due to the physiological changes during pregnancy, the presenting symptoms may be overlooked resulting in late diagnosis. Biopsies or fine needle aspirations may be performed and, with cautious use of sedatives and analgesics, the risk is limited for the fetus. Minor or major operations may also be performed during pregnancy with a slightly increased risk for fetal loss in the 1st trimester due to general anesthesia [2].

Many diagnostic imaging modalities, with appropriate shielding, expose the fetus to smaller doses of radiation than the recommended safe limits [3]. Irradiation is proven to be highly teratogenic, with the radiation effect on the fetus being dose-dependent and directly related to gestational age, irradiation field and fractionation [4]. The use of Computerized Tomography (CT) should be avoided due to the fact that internal scatter of radiation to the fetus cannot be avoided [5]. Instead, magnetic resonance (MRI) may be used, if deemed necessary [6, 7]. Gadolinium-based MR contrast agents should not be routinely provided to pregnant patients as no controlled

trials have been performed in humans and their administration should be based on overwhelming potential benefit to the patient against the risks of fetal exposure [6, 8]. Mammography, with new imaging equipment and appropriate shielding, presents little risk to the fetus, while diagnostic ultrasound (US) has no documented adverse effects [9]. Positron emission tomography and computed tomography (PET/CT) exposes the fetus to high radiation doses due to the combination of 18F-FDG uptake as well as CT dose and should therefore be performed after delivery [10].

OPTIONS FOR THE MANAGEMENT OF CANCER IN PREGNANCY

Recent developments in medical and radiation oncology, in combination with the experience gained by small studies, have changed the practice in gestational cancer. Surgery, chemotherapy and radiotherapy during pregnancy can now be compatible with normal fetal outcome.

Surgery

Open as well as laparoscopic surgery may be performed safely and effectively in all trimesters of pregnancy by experienced teams of surgeons and anesthesiologists [11, 12]. Although the use of most anesthetic drugs is considered safe for the fetus, the potential risk for intraoperative as well as postoperative complications still exists [11].

Chemotherapy, pharmacokinetics

Most of the data available on the teratogenic risks of specific chemotherapeutic agents during pregnancy are based on case reports and small studies. Chemotherapy has been associated with both immediate and delayed effects on the fetus, as it directly damages the DNA and/or interferes with DNA replication, repair, and the processes of chromosome segregation during cell division (Table 1) [13]. The teratogenicity of these agents has been demonstrated when fetal exposure occurs during the first trimester of pregnancy [14, 15].

Enhanced renal excretion of drugs during pregnancy; increa-

sed or decreased hepatic function; different gastrointestinal absorption or enterohepatic circulation; and altered plasma protein binding can affect chemotherapeutic agent pharmacokinetics [16]. Drugs with molecular weight less than 600kDa may traverse the placenta, unless strongly protein-bound [17]. Agents that are lipophilic or remain in the un-ionized state may also easily cross the placenta [18]. The dosage, route and scheduling of administration is important. Short infusions may cause higher toxicity, while orally-administered drugs may have reduced absorption [16]. It should be noted that many agents may cause adverse effects regardless of the gestational age, while some seem to be relatively safe if administered after the 1st trimester. Use of chemotherapy in the first trimester may result to spontaneous abortion, fetal death or major malformations in 10-20% of the cases [19, 20]. Exposure to chemotherapy during the 2nd and 3rd trimester may also cause functional defects of late-forming tissues but, given the overwhelming data on the use of chemotherapy during this period, such risks remain minimal and acceptable, given the potential benefits for the mother.

Radiotherapy

The data regarding pregnant women exposed to radiation therapy are scarce and based on animal studies; data from *in utero* exposure to diagnostic procedures or from women and children survivors of nuclear disasters [21, 22]. Estimation of the fetal size and position, as well as projected growth over the duration of the treatment, are essential in radiotherapy planning so as to minimize fetal radiation exposure [3]. Successful radiotherapy during pregnancy and birth of healthy children has been reported [23-30]. A general rule is that if radiotherapy cannot be delayed until the post-partum period, it should be administered by an experienced team of physicists and radiation oncologists after careful planning, with the use of purpose-built shielding devices and low fractional doses over a longer time period [3, 22]. Administering radiation therapy during pregnancy is a decision that needs to be taken by a multidisciplinary team

Table 1.

Impact of chemotherapy on fetal health in different stages of embryonal development (Reproduced with permission: Pentheroudakis et al. *Cancer and pregnancy: Poena magna, not anymore*. EJC, Volume 42, Issue 2, January 2006, Pages 126-140)

Gestational stage	Embryonal/fetal development	Impact
Weeks 0-2	Undifferentiated multicellular organism	"All or nothing", spontaneous abortion or normal development
Weeks 3-12	Organogenesis	Spontaneous abortion, major congenital anomalies
2 nd and 3 rd trimester	Intrauterine growth and maturation, continuing development of CNS, gonads, teeth-palate, eyes, ears	Functional defects and minor anomalies of late-forming tissues, still birth, intrauterine growth retardation, premature delivery, myelosuppression

following thorough discussion with the patient and taking into account the possible risks and benefits. Irradiation during the 3rd trimester should be avoided due to the small distance between the uterus and the irradiated supradiaphragmatic sites [31].

MANAGEMENT OF THE MOST COMMON MALIGNANCIES DURING PREGNANCY

The frequency of the phenomenon cannot be accurately estimated for each type of cancer, as most studies are based on small number of patients. The most common malignancies associated with pregnancy are reported to be breast cancer, cervical cancer, hematological malignancies, melanoma, and thyroid cancer [32-34]. Ovarian, lung and gastrointestinal cancers are less often [35-37]. The incidence of malignant tumors during pregnancy is shown in Table 2.

Breast cancer

It is estimated that up to 3% of breast cancers are diagnosed during pregnancy [38]. Due to the physiological changes during pregnancy, breast cancer diagnosis may be delayed from 2 to 18 months compared to non-pregnant women [39]. Approximately, 65 to 90% of pregnant patients are diagnosed at stage II and III, as compared to 45-65% of non-pregnant ones [40]. The histopathological features are similar to those of same age non-pregnant women breast cancer [33]. A common finding is a higher frequency of estrogen (ER) and progesterone (PR) negative tumors than for non-pregnant women of the same age [40, 41]. Due to the small number of studies, no definite conclusions can be drawn about differences in the incidence of HER2/*neu* amplification between pregnant and non-pregnant women [42].

Modified radical or conservative surgery with axillary lymph node dissection (ALND) can be performed during all trimesters of pregnancy with minimal risk to the fetus [39, 43, 44]. Sentinel lymph node biopsy (SLNB) is considered safe, and blue dye is not recommended because of possible allergic or anaphylactic reactions [45]. Due to the small number of patients in studies and the fact that concerns do exist regarding increased rates of false-negative results, patients should be duly informed about the risks and benefits. ALND could be performed instead. Chemotherapy during the first trimester is contraindicated and should be postponed. The dosage should be the same as for non-pregnant patients based on patient height and weight [44]. Most reports on the systemic therapy of PABC are retrospective. Based on these studies, anthracyclines remain the best choice for adjuvant therapy. In the majority of retrospective studies, the use of the FAC (5-FU, doxorubicin, cyclophosphamide) chemotherapy regimen is described. In the largest of them, eleven patients were treated during the second/third trimester and no congenital malformations were detected [46]. The use of FAC has been reported in a prospective study by Hahn *et al.* from the MD Anderson Cancer Center with favorable

Table 2.
Incidence of malignant tumors per pregnancies

Tumor Type	Incidence
Breast cancer	1 : 3,000-10,000
Cervical cancer	1 : 2,000-10,000
Hodgkin's lymphoma	1 : 1,000-6,000
Leukemias	1 : 75,000-100,000
Melanoma	2-5 : 100,000
Thyroid cancer	14 : 100,000
Ovarian cancer	4-8 : 100,000
Colorectal cancer	1 : 13,000

outcome [47]. Anthracycline-based regimens like FEC (5-FU, epirubicin, cyclophosphamide); AC (doxorubicin, cyclophosphamide); EC (epirubicin, cyclophosphamide) have also been reported with normal outcomes after 1st trimester exposure. Peccatori *et al.* [48] from the European Institute of Oncology have reported favorable outcomes with the use of weekly epirubicin in their prospective study. Use of taxanes, as single agents or in combination with anthracyclines, has also been reported in few patients without adverse effects for the pregnancy or the fetus [46, 49-51]. Since the safety of taxanes is less documented, it remains the second best choice for breast cancer during pregnancy. Based on recent preclinical data and the clinical experience of approximately 40 cases, the use of taxanes appears feasible during the 2nd and 3rd trimesters of pregnancy with limited risk to the mother and fetus [52]. CMF (5-FU, methotrexate, cyclophosphamide) should be avoided due to the potential teratogenic effects of methotrexate and the superiority of the anthracycline-based regimens [42]. Based on animal studies, case reports of congenital anomalies and lack of robust data on fetal outcome, women using tamoxifen should be strongly advised to discontinue its use in case of pregnancy [53, 54].

For patients diagnosed in the first or early second trimester, radiation therapy can be delayed until after delivery, if neo-adjuvant or adjuvant chemotherapy is needed. For patients diagnosed during the late second or third trimester, radiation therapy should be postponed until after delivery [45]. If radiation therapy cannot be delayed, it can be administered during the 1st or 2nd trimester with all the precautions described earlier [44]. According to retrospective studies, survival of women with breast cancer during pregnancy is worse, regardless of the age of the mother [55-59]. Conversely, other studies report that the prognosis is similar to that of non-pregnant patients of similar stage, grade and hormonal status [33, 60, 61]. The prognosis remains an open issue.

Cervical cancer

Cervical cancer is the second most common solid tumor encountered during pregnancy [32]. Cervical carcinomas

during pregnancy are predominately of squamous histology (80–90%) and their prognosis does not seem to be influenced by pregnancy [62]. Cervical cancer in pregnant women is diagnosed at earlier stages, possibly because of the routine visual inspection and cytological examination of the cervix as part of the prenatal check-up [63]. For pre-invasive lesions, a conservative approach is advised with new colposcopy every six to eight weeks so as to monitor the disease and definite treatment should be delayed until after delivery [64, 65].

The treatment of invasive cervical cancer depends on histology, disease stage, gestational age of the fetus and patient's wishes regarding pregnancy termination. For stage Ia1 disease, conization during the second trimester and close follow-up of the patient is required until delivery [64, 66]. For patients wishing to preserve their pregnancy, platinum-based neoadjuvant chemotherapy may be given during the 2nd and 3rd trimester with a minimum of two and a maximum of four cycles until fetal maturity is attained [64]. In the absence of nodal metastasis, the French recommendations describe it as an option for stage Ib1–4cm, while the European consensus recommendations consider it an option even for stage Ib1<2cm [64, 67]. For these patients conservative surgery (i.e. trachelectomy) may be considered after neoadjuvant therapy, but this approach entails a high risk of pregnancy loss and cannot be considered standard [64]. Immediate treatment with sacrifice of the fetus is advised in cases of i) stage Ia1 with positive margins, Ia2, Ib or IIa discovered prior to 12 weeks gestation; ii) locally advanced; and iii) small cell histological subtype, poorly differentiated squamous or adenocarcinoma or disease progression [64]. In locally advanced cervical carcinoma, neoadjuvant chemotherapy is an option for patients refusing to terminate their pregnancy in order to stabilize the disease and allow fetal viability [64]. The mode of delivery is also controversial. Most reports suggest that survival is not affected by the mode of delivery if the cervix is cleared from the tumor but since fatal recurrences in episiotomy sites have been reported after vaginal delivery, a cesarian delivery is often advocated [64, 67, 68]. When preservation of pregnancy is not the aim, definitive treatment should be started immediately upon disease diagnosis.

Melanoma

Melanoma represents approximately 8% of all cancers diagnosed during pregnancy [69]. Wide surgical excision with 1–3 cm margins according to primary lesion thickness is the treatment of choice. Sentinel lymph node biopsy could be performed with the same limitations as for breast cancer. Adjuvant treatment regimens with high dose interferon have not been studied in pregnant patients with melanoma and are not routinely recommended [70–72]. In the metastatic setting, the use of chemotherapy is palliative and termination of pregnancy should be discussed with the patient. Dacarbazine-based chemotherapy during the 2nd

and 3rd trimester has been reported, resulting in one case of minor fetal malformation (syndactyly) and 1 fetal death [73]. Shorter survivals have been reported in the literature, possibly due to enhanced lymphangiogenesis during pregnancy and shortened time to nodal metastasis [74–77] but three recent studies have not shown any difference in survival between pregnant and non-pregnant women with melanoma [62, 78, 79].

Hematological malignancies

Acute myeloid leukemia (AML) represents two thirds of all acute leukemias which occur during pregnancy and **acute lymphoblastic leukemia (ALL)** represents the remaining third [37]. Immediate therapy initiation is required upon diagnosis. When the diagnosis is made during the 1st trimester and treatment is initiated, there are high rates (nearly 50%) of adverse fetal outcomes [80]. During the 2nd and 3rd trimester the same induction and consolidation regimens as for non-pregnant patients are used. The combinations of cytarabin and daunorubicin or idarubicin (and vincristine for ALL) are most frequently reported in the literature with high rates of congenital anomalies and fetal deaths even if administered after the 1st trimester of pregnancy [80]. The use of doxorubicin could be considered for induction therapy for patients with AML or ALL who are not willing to proceed with pregnancy termination [80].

The incidence of **Hodgkin's lymphoma** ranges from 1 in 1,000 to 1 in 6,000 pregnancies [32]. Diagnosis should be based on excisional lymph node biopsy. When diagnosed early in the first trimester, termination of pregnancy should be considered –especially if any delay in treatment endangers the life of the mother (i.e. bulky disease, B-symptoms). If pregnancy preservation is the aim, a “watch-and-wait” approach until the 2nd trimester is preferred. For patients diagnosed in the 2nd and the 3rd trimester, the gold standard regimen ABVD (doxorubicin, bleomycin, vinblastin, dacarbazine) can be safely administered [80]. Prognosis for pregnant patients with HL does not seem to be inferior to that of non-pregnant patients [81, 82].

Non-Hodgkin's lymphoma during pregnancy is rare and few reports exist in the literature. When diagnosis is made, chemotherapy should be initiated immediately. In a review of 45 cases, where standard regimens were administered (even in the first trimester), no fetal adverse outcomes were registered [80]. Prognosis for pregnant patients with NHL does not seem to be inferior to that of non-pregnant patients [83].

Thyroid cancer

Thyroid cancer has been reported to occur with an incidence of 14 cases per 100,000 pregnancies [36]. All thyroid nodules measuring 1 cm or larger should be evaluated by FNA. Any patient with a malignant nodule or nodule(s) growing rapidly should undergo surgery during the 2nd trimester of

pregnancy. Pregnant patients should maintain normal T4 values, low but measurable thyroid-stimulating hormone and should be carefully monitored to avoid adverse fetal development [84]. Radioactive iodine should not be provided in women who are breastfeeding. There has not been reported decreased survival in pregnant thyroid cancer patients when compared with non-pregnant patients [85].

Ovarian cancer

Four to eight cases of ovarian cancer per 100,000 pregnancies is the estimated incidence reported in the literature [86, 87]. For early stage (IA) grade 1 epithelial tumors the surgical staging is similar to that of non-epithelial tumors [88]. Bilateral salpingo-oophorectomy may be performed after the 7th week of gestation [89]. For advanced stage disease, termination of pregnancy and complete surgical debulking is recommended. If preservation of pregnancy is the aim, hysterectomy may be performed as soon as a viable fetus is delivered. Current literature suggests that the use of platinum agents is feasible during the second and third trimester of pregnancy but further reports of platinum use during pregnancy are warranted to confirm the safety of these drugs. Based on a better toxicity profile, carboplatin is recommended instead of cisplatin [64, 90]. For non-epithelial ovarian cancer the use of BEP (bleomycin, etoposide, cisplatin); EP (etoposide, cisplatin); and PVB (cisplatin, vinblastin, bleomycin) have been reported with no major adverse fetal effects. Although data is limited, prognosis of patients with gestational ovarian cancer is reported to be similar to that of the non-pregnant patients [91].

Colorectal cancer

The incidence of colorectal cancer during pregnancy has been reported as one case per 13,000 pregnancies [32]. When diagnosis of colon or rectal cancer is made during the first 20 weeks of gestation, surgery can be performed safely and if adjuvant chemotherapy (or radiotherapy) is needed it can be offered post-partum. If it is diagnosed in the second half of pregnancy, surgery should be delayed until a viable fetus is delivered [92]. A cesarean delivery should be performed if the birth canal is tumor-obstructed. Only 2 cases of chemotherapy use during pregnancy have been reported. In both cases 5-FU with oxaliplatin was used after the 1st trimester without adverse effects on the fetus [93, 94].

Lung cancer

The incidence of lung cancer during pregnancy is rising due to the smoking habits of young women in western societies. Less than 50 cases have been reported in the literature with very poor prognosis for the patients. Eight patients were treated with systemic therapies during the course of gestation with normal fetal outcome and no evidence of fetal or placental metastases [95].

USE OF TARGETED AGENTS

Special concerns arise regarding the use of targeted agents during pregnancy as they are increasingly used in the everyday practice of oncology.

Trastuzumab has significantly improved outcomes in HER2 positive breast cancer [96, 97]. Few reports exist regarding the use of trastuzumab during pregnancy. Despite the fact that the majority of patients were exposed during the first trimester, no congenital anomalies were reported. It is proposed that the minimal materno-fetal transfer of IgG that occurs during the first trimester can account for these results [98]. The use of trastuzumab resulted in oligohydramnios or anhydramnios and caused neonatal deaths in four cases, as well as transient respiratory or renal failure in three [99-104]. These complications seem to be associated with prolonged exposure (more than one trimester) to trastuzumab and are reversible on stopping the mAb [105]. Six cases of *in utero* exposure to **rituximab** during the 2nd trimester and one during the 1st trimester of gestation have been reported with no congenital anomalies in the newborns. In three out of seven neonates, CD19+ B cells were decreased or undetectable at birth or shortly after. The condition was reversible within 3-6 months [106-110].

For the tyrosine kinase inhibitor **imatinib** preclinical models have shown teratogenic effects in mice and rats and thus the drug is not labeled for use in pregnancy [111]. In 2008, Pye *et al.* reported a series of 180 patients exposed to imatinib during pregnancy [112]. Of the 125 pregnancies that had known outcomes, twelve (9.6%) resulted in infants with fetal abnormalities. **Dasatinib** is a multi-targeted kinase inhibitor of bcr/abl and Src kinases and related proteins. Cortes *et al.* from the MD Anderson Cancer Center reported at a scientific meeting the outcomes of pregnancies of eight women who conceived during treatment. Three had therapeutic abortions, two spontaneous abortions and three delivered the babies. None of these women or their infants experienced serious adverse outcomes [113]. Conchon *et al.* recently reported the successful pregnancy and delivery of a healthy newborn exposed to dasatinib for approximately 8 weeks after conception [114]. No clinical data about the TKIs **sunitinib** and **sorafenib** during human pregnancy has been noted in the literature to date. Patyna *et al.* [115] recently reported embryo-fetal developmental toxicity of sunitinib in rats and rabbits due to angiogenesis inhibition, as typically observed for potent inhibitors TKIs and the monoclonal antibody bevacizumab. **Erlotinib** has been shown to cause embryo/fetal lethality in animal models. A case of fetal exposure to erlotinib during the 1st trimester has been reported [116]. The pregnancy was uncomplicated and continued until term without congenital anomalies being encountered. Due to limited clinical data and until larger studies with robust data are available, conception during treatment with TKIs is not recommended and effective contraception should be used. During pregnancy, the use of TKIs should be avoided -especially during the 1st trimester.

SUPPORT TREATMENT

Very limited data exists regarding the safety of **granulocyte colony stimulating factors (G-CSF)**. The drug may be considered for the management of febrile neutropenia in pregnant women [108, 117, 118]. **Recombinant human erythropoietin (rHPO)** does not cross the placenta and the development of teratogenic effects is unlikely [119-121], but since data is scarce it should only be used if blood transfusion is not an option. In humans, the safety information on **bisphosphonates** during pregnancy is basically based upon case reports and small studies. On the basis of approximately 50 cases, exposure does not seem to be linked with anomalies to the embryo or fetus, but infants should be monitored for hypocalcemia [122]. In another study, the risk of birth defects and abortion was not higher when bisphosphonates were used during pregnancy [123]. Despite the above results and until definite data becomes available, bisphosphonates should be avoided during pregnancy. The **antiemetics** metoclopramide and ondansetron have been found to be safe during pregnancy in prospective trials and can be used during pregnancy [124, 125]. **Acetaminophen** can be the analgesic and antipyretic of choice during all phases of pregnancy.

TERMINATION OF PREGNANCY

Termination of pregnancy may be considered when immediate treatment is needed for abdominal or pelvic tumors; for aggressive neoplastic disease; in advanced stage cancers with dismal prognosis; and in cases of parents' reluctance to accept the risk associated with *in utero* exposure to chemotherapy or radiotherapy [89]. As personal, social, ethical and religious issues may arise, patients should be thoroughly informed and all their wishes acknowledged. Management should be individualized and psychological support offered, if required. No difference in prognosis for the patients with cancer has been shown after termination, if appropriate anti-cancer therapy is applied [20, 32, 126].

SUBSEQUENT PREGNANCIES AND LONG-TERM HEALTH OUTCOME OF THE CHILDREN

Women diagnosed with cancer during pregnancy may opt for further child-bearing as soon as the first 2-5 years post-

partum (critical period for potential recurrence) are completed. During this time, contraception should be used and strict follow-up should be pursued [89]. In case of relapse, salvage therapy should be initiated and further pregnancies should be avoided in women with recurrent disease and poor prognosis. Based on the results of a recent large meta-analysis (1,244 cases and 18,145 controls), pregnancy in women with history of breast cancer is safe and does not seem to compromise their overall survival [127]. Hence, breast cancer survivors should not be denied the opportunity of future conception.

The results of a large study (both retrospective and prospective) presented at the 2011 European Multidisciplinary Cancer Congress, showed that children who were exposed to chemotherapy *in utero* did not appear to suffer any detrimental effects in terms of general health and neurological and cardiac functioning. The median follow-up was almost 2 years, although some of the children were followed for up to 18 years. At birth, no congenital heart defects were observed, and cardiac function was normal. Most of the children had adequate neurological function and normal cardiac function; rates were similar to those seen in the general population. High rates of premature birth were observed and, although cognitive development was in the normal range for the majority of the cohort, children who fell below the normal parameters tended to be premature. Normal findings were observed in 90% of the children, which conformed to the general population. On the basis of these results, as well as the results of previous studies, it seems that chemotherapy may be administered after the first trimester with reasonable safety for the children [47, 128-131].

EPILOGUE

Based on the clinical experience and cooperation of multidisciplinary teams, treatment of gestational cancer with normal fetal outcomes is feasible. Benefits from the use of surgery, chemotherapy and/or radiotherapy as well as the mother's health and wishes need to be factored into recommendations and treatment planning. As the incidence of cancer-complicated pregnancy rises, and due to the phenomenon's relative rarity, the need for multicenter cooperation continues to grow.

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Consensus on the better diagnosis, treatment and management of non-infectious pneumonitis

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ABSTRACT

There are several chemotherapeutic agents, affecting pulmonary parenchyma developing drug-induced pneumonitis. Patients develop drug-induced pneumonitis at a rate lower than 10%; non-infectious pneumonitis in patients treated with everolimus is not a rare adverse event. The frequency of this entity varies between 6% and 14%, while only 2%–4% of them present with Grade 3 pneumonitis, and 0.3% present with Grade 4 pneumonitis. In most cases, reducing the dose and/or interrupting the chemotherapeutic agent, in combination with symptomatic treatment, has positive results. The purpose of this paper is to provide the steps that should be followed for diagnosing pneumonitis, the treatment to be provided and the management to be designed for this non-infectious pneumonitis which is considered as a class-effect adverse event for all mTOR inhibitors. The same pneumonitis management rules apply in all cases, regardless of the agent that caused it. In the Greek clinical practice, non-infectious pneumonitis cases occurring in patients who receive treatment with everolimus for metastatic renal cancer are extremely rare. However, clinical safety surveillance protocols are in order so as to study and accumulate experience on this specific toxicity.

Key words: non-infectious pneumonitis; everolimus-induced pneumonitis; RECORD-1; REACT; metastatic renal cancer.

INTRODUCTION

The term "interstitial lung disease" or "interstitial disease" is used to describe diseases that affect the epithelium, endothelium and interstitial pulmonary tissue; these diseases are characterized by lymphocyte, macrophage and neutrophil invasion in the interstitial alveolar space. As the interstitial disease progresses, depending on its cause, the endothelium in the affected area is destroyed, the number of capillaries is reduced, and both fibroblasts and collagen increase in an attempt to repair the damage. Intra-alveolar interstitial space expands and fibroblastic nodules and alveolar exudate is formed. In many cases, the lungs are depicted in chest X-ray in a cystic "honeycombing" pattern, which is also the case for many other diseases, such as Chronic Pulmonary Obstructive Disease. Lesion distribution is usually segmental; pleura and interlobar spaces (interlobar fissures) may also be affected and it is possible to develop pleural effusion.

Interstitial diseases include idiopathic pulmonary fibrosis; sarcoidosis; histiocytosis X;

pneumoconiosis; miliary tuberculosis; interstitial pneumonias; allergic alveolitis; as well as non-infectious pneumonitis, either drug-induced or due to hypersensitivity.

There are several drugs besides chemotherapeutic agents (e.g. bleomycin, azathioprine and methotrexate, nitrosoureas, gemcitabine, taxanes, cyclophosphamide) affecting pulmonary parenchyma such as several antibiotics of the nitrofurantoin and sulfasalazine class; amiodarone (common heart medicine); anti-inflammatory drugs, such as aspirin and penicillamine; gold compound drugs; oxygen; isoniazid (well known antituberculosis drug); and others. Thus, drug-induced pneumonitis is a common phenomenon.

CLINICAL IMAGE

The clinical image of pneumonitis is atypical. Its main symptoms include exertional dyspnea, non-chill fever and dry cough without sputum. At auscultation, the patient may present with crackles whereas in radiological examination a mere 10% may have a normal

Table 1.
Pneumonitis following everolimus administration in patients with metastatic renal cancer [1, 2]

n (%)	N	All grades	Grade 3	Grade 4
RECORD-1 [1]	274	37 (14)	10 (4)	0
REACT [2]	1367	83 (6)	33 (2)	4 (0.3)

X-ray. Lesions may initially begin to appear in one lobe and then diffuse. Also, in severe pneumonitis, i.e. Grade 3 and 4, hypoxemia and type 1 respiratory failure may co-exist, i.e. low oxygen levels with normal dioxide levels. Radiographic lesions observed in the X-ray together with pathology image (e.g. granulomas) in case a biopsy is feasible, provide substantial evidence of possible pneumonitis.

Patients develop drug-induced pneumonitis (from cancer-targeting agents or other kinds of drugs) at a rate lower than 10%; Grade 3 and 4 pneumonitis (pneumonitis with radiological lesions affecting patient everyday activities that may cause hypoxemia and are life-threatening or resulting in permanent disability) occur at a far smaller rate of <1%.

CLINICAL TRIALS

Following everolimus administration (see Table 1), 14% and 6% of the patients with metastatic renal cancer presented with various grades of pneumonitis according to two

everolimus-investigating clinical trials. One trial (RECORD-1) included 274 patients, 4% of which presented with Grade 3 pneumonitis, whereas in another trial (REACT) that included 1,367 patients, only 2% presented with Grade 3 pneumonitis and 0.3% presented with Grade 4 pneumonitis.

DIAGNOSIS OF PNEUMONITIS

Pneumonitis is mostly diagnosed through a chest X-ray, regardless of the symptoms. Patient clinical status evaluation, medical history, chest X-ray, high-definition CT as well as DL_{co} (Diffusing capacity of the lung for carbon monoxide) testing are crucial in fully establishing a pneumonitis diagnosis. In case of a suspected respiratory failure, performing a blood gas study at rest is suggested. In order to exclude opportunistic infections or depending on the treating physician’s clinical judgment, the patient may be referred to a pulmonologist for bronchoscopy and trans-bronchial biopsy.

According to a recent publication by Porta *et al.* in the 2011 European Journal of Cancer [3], patients with metastatic renal cancer showed all grades of pneumonitis at a rate of 14%. The use of drugs may cause interstitial pneumonitis, pulmonary fibrosis, pulmonary eosinophilia, organizing pneumonia, pulmonary edema, pleural effusion, pulmonary hypertension as well as alveolar hemorrhage.

Table 2 describes the therapeutic protocol suggested by White *et al.* (AJRCCM, 2010) for the management of non-infectious pneumonitis.

Table 2.
Therapeutic algorithm for non-infectious pneumonitis [4]

*Cortisone therapeutic dose (full dose) in non-infectious pneumonitis is 50-60mg.

Grade	Intervention	Investigations	Everolimus Dose Adjustment
1	No specific therapy required	CT scan and PFTs.* Repeat chest X-ray/CT scan every two cycles until return to baseline	No change
2	Symptomatic only. Prescribe corticosteroids if cough is troublesome	CT scan and PFTs.* Repeat each cycle until return to baseline. Consider bronchoscopy	Reduce dose until improvement to grade ≤1; consider interruption if symptoms are troublesome. Discontinue treatment if recovery to grade ≤1 is not evident within 3 weeks
3	Prescribe corticosteroids if infectious etiology is ruled out. Taper as clinically indicated	CT scan and PFTs.* Repeat each cycle until return to baseline. Bronchoscopy required	Interrupt treatment until improvement to grade ≤1. Restart therapy within 2 weeks at a reduced dose if clinical benefit is evident
4	Prescribe corticosteroids if infectious etiology is ruled out. Taper as clinically indicated	CT scan and PFTs.* Repeat each cycle until return to baseline. Bronchoscopy required	Discontinue treatment

Abbreviations: Abbreviations: CT, computed tomography; PFT, pulmonary function test.
*PFTs include spirometry diffusion capacity of carbon monoxide and room air oxygen saturation at rest tests.

Table 3.

Non-infectious pneumonitis evaluation criteria (CTCAE v3.0)

GRADE	SIGNS
Grade 1	Asymptomatic, with radiological findings
Grade 2	Symptomatic, not affecting everyday activities
Grade 3	Symptomatic, affecting everyday activities or requiring oxygen therapy
Grade 4	Life-threatening or requiring oxygen therapy

TREATMENT AND MANAGEMENT

In general, when oxygen is administered for the management of pneumonitis, drug toxicity may worsen, as for example in the case of bleomycin. For this reason, a differential diagnosis that will exclude other infections or lymphangitic spread from the underlying disease must be established in advance. The mechanism relating everolimus to non-infectious pneumonitis remains unknown. Based on clinical study results in patients with metastatic renal cancer, everolimus-induced non-infectious pneumonitis may occur following a median of 4 months (1-9 months) after treatment initiation.

The suggested instructions for a patient under everolimus treatment are as follows: Perform a chest X-ray and blood gas study in visit 1, and then follow-up by the treating physician on a monthly basis. Depending on the findings of the first chest X-ray, proper follow-up is advised. Also, DL_{CO} (Diffusing capacity of the lung for carbon monoxide) testing is considered a reliable technique. Based on CTCAE v3.0 (Common Terminology Criteria for Adverse Events v3.0, see Table 3), the treating physician may evaluate the severity of pneumonitis and then decide on treatment. The everolimus indication-based clinical trial in metastatic renal cancer [4]

showed that a proportion of patients diagnosed with pneumonitis were also diagnosed with pleural effusion and chronic obstructive pulmonary disease (COPD), whereas for 55% of these patients lymph node involvement was confirmed. The main symptoms of these patients were cough, dyspnea or both in various grades, whereas most patients had positive radiological findings regardless of their symptoms. Grade 2, 3 and 4 patients were treated with corticosteroids. The administered everolimus dose was reduced in the majority of patients and/or was interrupted in a small number of patients. Out of a total of 37 pneumonitis patients, 20 showed complete symptom and disease resolution following treatment adjustment.

In summary, non-infectious pneumonitis is a common side-effect of anti-cancer agents. It is rare and considered as a class-effect adverse event for all mTOR inhibitors. Special caution is recommended when combining palliative radiation therapy and anti-cancer therapy administration. In metastatic renal cancer, despite the fact that the kidney neoplasm is considered as a radiation-resistant neoplasm, everolimus and concomitant radiation therapy is not recommended and the experience in such cases is still quite limited. It is important to note that micromolecules and antibodies, such as EGFR inhibitors, bevacizumab, trastuzumab, gefitinib, etc. very often cause non-infectious pneumonitis; this is not the case with everolimus, for which pneumonitis is far more rare. The same pneumonitis management rules apply in all cases regardless of causing agent. It is important for the treating physician to have the differential diagnosis history prior to performing a high-resolution CT. It is suggested that, before initiating everolimus treatment, treating physicians should prepare their patients by suggesting that they stop smoking and asking to immediately contact them in case of fever, cough or dyspnea.

In the Greek clinical practice, non-infectious pneumonitis cases are extremely rare. However, clinical safety surveillance protocols are in order so as to study and accumulate experience on this specific toxicity.

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Leprosy reactivation and lepromatous gangrene associated with chemotherapy for advanced gastric cancer: a case report and review of the literature

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ABSTRACT

Immunity suppression is frequent among cancer patients and further exacerbated by antineoplastic chemotherapy. It is usually complicated by opportunistic viral, microbial or fungal infections and less frequently involves reactivation of chronic infections. Herein we present a rare case of leprosy reactivation in a patient with advanced gastric cancer who had received first-line chemotherapy. Lepromatous lesion reactivation was complicated with thrombotic angiopathy that led to lepromatous gangrene and subsequent amputation of the left hand. The pathophysiology of this rare entity is discussed and the potent role of immense immune reactions, including the anti-phospholipid syndrome and its subsequent thrombotic complications is also addressed.

Key words: leprosy; lepromatous gangrene; gastric cancer; anti-phospholipid antibodies; taxane chemotherapy.

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INTRODUCTION

Immunity disorders including anosoparesis, hypogammaglobulinemia and immune evasion are paraneoplastic features that are associated with a wide variety of human malignancies. Immunosuppression as a result of antineoplastic chemotherapy is also frequently observed in cancer patients, rendering them susceptible to infectious complications. Chemotherapy-induced neutropenia and lymphopenia and impairment of cellular immunity further increase the risk for new opportunistic infections or reactivation of chronic ones. Herein, we present a case of leprosy reactivation that led to the rare complication of lepromatous gangrene and subsequent amputation in a male patient with adenocarcinoma of the stomach that was subjected to first-line chemotherapy.

CASE PRESENTATION

A 76-year old man was admitted in our hospital with fatigue of recent onset and microcytic anemia (Hct: 25%), while the rest of the laboratory tests were unremarkable. His medical history included lepromatous leprosy that was diagnosed at the age of 12 and successfully treated with dapsone. He was a

social drinker and smoker. The patient underwent upper gastrointestinal tract endoscopy that revealed an extensive ulcerative lesion at the major arch of the stomach. Pathological examination of the biopsies established the diagnosis of an infiltrative mucinous adenocarcinoma of the stomach with signet-ring cell morphology. Imaging studies of the abdomen and thorax revealed peritoneal implantations and liver metastases. The patient received first-line chemotherapy with six cycles of docetaxel (75 mg/m² on Day 1), carboplatin (area under the curve 5, on Day 1) and capecitabine (2000 mg/m² on Days 1 to 5) every three weeks. Primary prevention for neutropenia with filgrastim was administered from the first cycle. However, grade III neutropenia at the third cycle led to 10% decrease in docetaxel and carboplatin doses. Subsequent imaging studies showed partial remission of the disease, according to the RECIST criteria and the patient was referred to the outpatient Oncology clinic for periodical follow-up. He experienced no other substantial toxicity during chemotherapy.

Two months after completion of first-line chemotherapy, the patient presented with reddish skin lesions in the interdigital area of his right hand (Figure 1) and his nose (Figure

2). Biopsy of the hand lesion was compatible with chronic granulomatous disease. Due to the patient's medical history nasal smear was examined with Ziehl-Nielsen staining and was found positive for acid-fast bacilli (Figure 3). The combination of clinical signs and nasal smear findings suggested the diagnosis of leprosy relapse. Similar lesions in his left hand expanded rapidly and became necrotic (Figure 4) despite oral broad spectrum multi-drug treatment (MDT), including rifampicin, ciprofloxacin and doxycycline. Doppler sonography of the hand disclosed severe thrombotic angiopathy of the small branches of the left radial and ulnar arteries with critically reduced blood perfusion and the patient was referred to the plastic surgery department for amputation at the carpal level. After surgery, the patient continued antibiotic treatment for *Mycobacterium leprae* infection and thalidomide, as an immunomodulator, was added to the regimen. One month after amputation, the patient was free of symptoms, experiencing no signs or clinical evidence of active infection. Unfortunately, two months after the amputation the patient experienced disease progression in the abdomen and despite second-line chemotherapy died from metastatic disease in August 2011.

DISCUSSION

Immuno-evasion is an emerging hallmark of cancer cells [1]. Due to genomic instability (a hallmark of transforming cells [2]) and selective pressure from host immunity mechanisms [3], transforming cells adopt phenotypical characteristics that allow their unrestricted proliferation [1, 3]. These mechanisms include: the avoidance of cytotoxic lymphocyte stimulation by attenuation of human leukocyte antigen class (HLA) molecules and the suppression of tumor-infiltrating immune cells activity by molecular and cellular factors [4]. In addition, cancer cells excrete immune

suppressive factors (including vascular endothelial growth factor or VEGF, IL-10, and PGE2) that exert systemic effects on immune cell function [5, 6], thus compromising the host's native and adaptive immunity. In this setting, immune suppression is clearly observed in cancer patients.

The function of the immune system in cancer patients is further impaired by the applied treatment modalities. Both radiotherapy and the majority of chemotherapeutic agents inhibit proliferation and maturation of the myeloid lineage in the bone marrow resulting in increased risk for neutropenia and subsequent bacterial or fungal infections [7]. Moreover, certain chemotherapeutic agents cause lymphopenia [8], or affect lymphocytic function, directly inhibiting both humoral and cellular immunity [9]. Finally, corticosteroids that are frequently used during chemotherapy have similar adverse effects on T- and B-cell activation [10].

The integrity of cellular immunity is indispensable for the prevention from opportunistic infections, including pneumocystis jiroveci pneumonia (PCP) or the reactivation of mycobacterial infections [11]. Malignant disease and chemotherapy have long been recognized as risk factors for the development of tuberculosis [12]. Despite the higher incidence of mycobacterium tuberculosis infections in patients with malignant lymphomas, certain solid tumors, such as lung, head and neck and stomach carcinomas have also been associated with the development of tuberculosis [13]. To the best of our knowledge, this is the first case of relapse of *M. leprae* infection in a cancer patient receiving chemotherapy reported in the literature. Diagnosis of leprosy is based mainly on the combination of its characteristic clinical signs and detection of acid-fast mycobacteria in liquid smears or skin biopsies of the patient. Paucity of data may surely be attributed to disease "elimination" (reduction in prevalence in less than 1 per 10,000 population) in most parts of the world with the exception of endemic areas (e.g. India, Brazil). Furthermore, in the era of

Figure 1.



Figure 2.



multi-drug therapy (MDT) of leprosy, patients receiving adequate treatment should be considered as "cured" since the incidence of relapse is below 1% in 9 years after completion of MDT [14]. However, older patients who were treated with dapsone as monotherapy –like our case– are declared as "disease arrested" and present 10 times greater risk for disease relapse than patients receiving MDT [14].

In the present case, the patient received a docetaxel-based combination as first-line therapy for his non-operable gastric adenocarcinoma. Docetaxel is known to reduce the number of peripheral blood lymphocytes [8, 15-17] and also suppress major histocompatibility-unrestricted cytotoxicity of T-lymphocytes [16]. Furthermore, docetaxel inhibits Toll-like receptor 4 (TLR-4) signaling [18], that is implicated along with TLR-2 in the initiation of the immune response against mycobacteria [19, 20]. However, the significance of this mechanism in the role of docetaxel in inducing leprosy relapse should be further examined, since recent data correlates dysfunctional TLR-4 single nucleotide polymorphisms with protection against *M. leprae* [19].

Lepromatous gangrene is a rare complication of lepromatous leprosy that is usually attributed to thrombotic microangiopathy and involves mainly the extremities. However, recent evidence suggests an important role of the anti-phospholipid antibodies in the lepromatous gangrene pathophysiology [21]. Anti-phospholipid antibodies (APLA) have been originally described in the anti-phospholipid syndrome that can occur either in its primary form or secondarily in association with other autoimmune disorders or various infections, including syphilis, HIV, HCV disease, tuberculosis and cytomegalovirus infection. Of note, increased APLA levels were reported in 29% among 112 leprosy patients in one study [22]. It is thus probable that infection-induced increased APLA are associated with the thrombotic manifestations of the anti-phospholipid syndrome that complicated leprosy reactivation in

our case. However, anti-cardiolipin antibodies (ACLA), APLA and lupus anticoagulant were negative in our patient and tests for other hypercoagulable states (protein C, protein S, antithrombin III, homocysteine and factor V Leiden) were within normal limits. Moreover, histopathological findings of the lesion biopsy in our patient showed microvascular thrombosis in the absence of inflammatory infiltration of the vessel wall, a situation which is frequently described as Lucio's phenomenon [23]. Therefore, a clear etiopathological association between APLA and lepromatous gangrene could not be established in our case, as it was in a similar one recently reported in the literature [21]. Nevertheless, the beneficial effect of thalidomide –an agent with well-defined immunomodulatory properties in autoimmune disorders– in our patient suggests a potent role of immune reactions in thrombotic complications associated with leprosy reactivation [24, 25].

CONCLUSION

Leprosy reactivation is a rare chemotherapy complication due to low prevalence of the disease and the current use of multi-drug therapy (MDT) for its treatment. The attenuation of cellular immunity though caused by the neoplasia itself and the commonly used chemotherapeutic agents increase the risk for mycobacterial and opportunistic infections in cancer patients. In this setting, new reddish patches with loss of sensation or thickened peripheral nerves should raise high clinical suspicion for leprosy relapse in a patient with previously treated Hansen's disease. Moreover, thrombotic complications, including the rare entity of lepromatous gangrene, should always be anticipated and aggressively treated simultaneously with the infection. The potent role of overt immune reactions in thrombotic disease justifies the use of immunomodulatory agents, such as thalidomide, along with anticoagulants, for the treatment of this serious complication.

Figure 3.

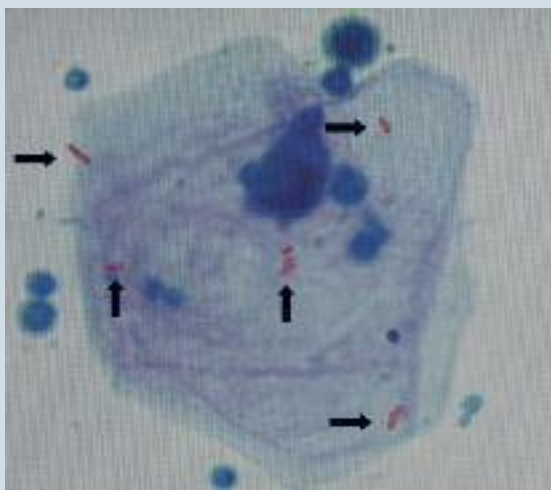


Figure 4.



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Recurrent multi-loculated symptomatic malignant pleural effusion after talc pleurodesis: drain and re-drain improve quality of life and patient survival

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ABSTRACT

Medical oncology is a high-cost and challenging medical specialization, however sometimes low-cost interventions combined with appropriate pharmacological treatments might provide more benefits to the patient than drug delivery alone.

We report the case of a patient with recurrent multi-loculated pleural effusion, who would otherwise be condemned to 24-hour use of oxygen mask and inhalers.

Key words: recurrent loculated malignant pleural effusion; pleurodesis; quality of life.

INTRODUCTION

Medical oncology is a challenging medical specialization characterized by breakthrough research, human touch and high-cost challenging biological drugs.

Due to the distinctiveness of oncology patients, health care providers around the world deliver high-cost drugs amounting to tens of thousands of euros/year/patient to attain less than one month of overall survival benefit [1] or even no benefit at all [2-4]. However, sometimes, low-cost interventions might provide more benefits to the patient than would high-cost drug delivery alone, especially if such interventions are associated with proper treatment of the primary disease.

We report the case of a patient who would otherwise be condemned to 24-hour use of oxygen mask and inhalers.

CASE PRESENTATION

Male patient aged 68, was referred for palliative care treatment to a Greek regional hospital on November 2010 because of poor performance status (PS=3) and severe dyspnea, due to recurrent multi-loculated pleural effusion secondary to metastatic clear cell renal carcinoma. **Medical History:** In 2006, the patient underwent a right nephrectomy for a GII pT1b, N0, M0, stage I clear cell renal

carcinoma. In August 2010, he was hospitalized in a tertiary care central hospital for respiratory disease because of dyspnea and chest pain due to malignant left lung pleural effusion. Thoracentesis and talc pleurodesis were performed. Computed tomography (CT) restaging evidenced complete pleural effusion evacuation and total lung re-expansion; no evidence of metastatic disease in organs other than pleura was noted; and bone scan was negative for bone secondarisms. Patient was placed in observation follow-up without further treatment by his physicians. In November 2010, respiratory symptoms reappeared, chest CT imaging documented pleural effusion relapse in the same pleura, with multi-loculated pattern of pleural fluids, trapped by pleural adhesions. Recurrence and patient general condition (PS 3) were scrutinized in an oncology and respiratory disease consultation. Re-thoracentesis with re-pleurodesis was deemed of no value. Due to the severity of dyspnea symptoms, B2 agonists and corticosteroid inhalers as well as 24-hour oxygen mask were prescribed to the patient together with oral sunitinib 50 mg/day for four weeks, 2 weeks rest and recycling every 6 weeks [9].

Palliative care management: B2 agonists and corticosteroid inhalers are of little value in managing dyspnea of pleural effusion origin. Considering the severity of respiratory distress

Figure 1.Chest X-Ray before the 3rd thoracentesis**Figure 2.**CT at 3rd thoracentesis

and that the 24-hour oxygen mask was not enough to palliate the patient's respiratory discomfort, overall patient indications were re-scrutinized in our secondary care department. Taking into consideration that multi-loculated patterns of pleural effusion trapped by pleural adhesions do not seem to communicate anatomically in classical imaging, yet these effusions frequently communicate functionally with each other (despite the imaging picture) [6], we decided to re-drain the pleural effusion under US guidance and to re-perform talc pleurodesis after fluid evacuation. In view of the minimally interventional thoracic procedure, due to the potential risk for hemorrhage, sunitinib treatment was discontinued. After 5 days, all of the patient's left hemithorax trapped pleural fluid effusions were screened with ultrasound for potential drainage. Chest seldinger tube 12ch was positioned using US guidance and remained for 4 days; a total of 2.5 liters of malignant pleural effusion was removed with satisfactory lung expansion and with notable reduction in number and size of the multi-loculated effusions. Re-talc pleurodesis was then performed with slurry talc powder.

Five days after thoracentesis, recurrent daily 37.5 mg sunitinib treatment was initiated [7, 8] and the patient was discharged from the hospital. The patient's overall condition re-flourished and he returned to his daily life without oxygen mask or inhalers and with an ECOG PS=0. Due to the lack of a cytology department in our small hospital (many regional secondary care hospitals lack cytology departments) no pleural effusion cytology was obtained. However, considering that the patient was completely assessed and referred to our regional hospital for terminal care from a university department of medical oncology in a tertiary care (central) hospital, no major reason to re-perform cytology was imperative in this setting (terminal care).

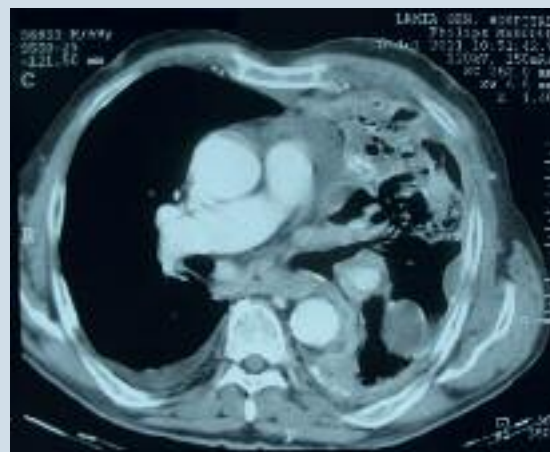
In March 2011, pleural effusions began to deteriorate slowly

but the patient continued to be asymptomatic. No visceral metastases developed in vital organs (lung parenchyma vs. liver vs. brain etc). Pleural effusion was the only manifestation of recurrence and the pleural effusion relapse was nicely delayed in time with a low incremental rhythm. A watchful waiting policy was thus preferred over an early drug switch. Two months later, in May 2011, the patient's condition deteriorated (PS=2) and dyspnea reappeared. US-guided screening of trapped effusion was performed but thoracentesis was difficult, due to the effusion location and dimensions. No chest tube was located and only 100 ml could be removed on-site. A chest CT was thus programmed, while the patient's dyspnea, back ache and PS (=3) continue to worsen (Figures 1, 2). During CT restaging, CT-guided parasternal chest seldinger 12ch was located and 3.5 liters of pleural effusions were removed in three days with satisfactory lung expansion, notable reduction in number and size of the multi-loculated effusions (Figures 3, 4). Re-talc pleurodesis was then performed with slurry talc powder and the patient was discharged from the hospital on the following day under everolimus [10] treatment (10 mg once daily) and with an ECOG PS=1. In September 2011, pleural effusions deteriorated further, CT-guided thoracentesis was performed and 3rd line treatment with oral sorafenib 400 mg twice a day was initiated [11].

More than a year after palliative care referral the patient continues to live without an oxygen mask.

DISCUSSION

Medical oncology is an exciting medical specialization, characterized by challenging, cutting-edge research; educational and trial breakthrough opportunities; intense human contact in taking care of patients; and availability of high-cost, fashionable biological drugs [12]. In this convulsive and full of

Figure 3.Chest X-Ray after the 3rd thoracentesis**Figure 4.**CT after the 3rd thoracentesis

attractions life, sometimes the propensity for and facility in prescribing drugs may replace a comprehensive patient approach. Occasionally, however, low-cost interventions may provide notable benefits in quality of life and survival, especially if such interventions are associated with proper treatment of the underlying disease.

In this case, a multi-loculated pleural effusion, recurrent after a single course of talc pleurodesis, was condemning the patient's health to serious and extremely undesirable conditions (poor performance status, mandatory continuous use of oxygen mask and inhaler, potential negative effects resulting from compulsory sedentary life such as decubitus ulcers; infections and PE; continued deterioration of dyspnea and pain; and probably life expectancy reduction).

Recurrent loculated malignant pleural effusions are common after the use of sclerosant pleural agents such as

talc, bleomycin, tetracycline and doxycycline [5]. However, despite the fact that multiple loculated effusions sometimes do not seem to communicate in classical imaging, these effusions communicate functionally with one another; for these reasons further re-drainage and re-management is indicated, either with re-use of sclerosant pleural agents, or with the use of tunneled pleural catheters [6].

Consequently, management of recurrent malignant pleural effusions is an important issue in the supportive care of cancer patients. Due to the procedure's low cost and considering its potential benefit for patients' quality of life, management of recurrent loculated effusions should be guaranteed to all patients who need it. Indeed, these low-cost interventions combined with appropriate treatments of the underlying disease might provide more patient benefits than would drug delivery alone.

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Pancreatic metastasis from prostate cancer

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ABSTRACT

The pancreas is an unusual location for metastases from other primary cancers. Disparity in prognosis and management between primary and secondary pancreatic tumours makes recognition of metastases to the pancreas an important goal. We report an exceptional case of pancreatic metastasis from prostate cancer. In such cases, a high degree of suspicion can lead to early detection and potentially effective treatment.

Key words: prostate cancer; pancreatic metastasis.

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INTRODUCTION

The pancreas is an uncommon location for metastases from other primary cancers [1]. These tumours are usually asymptomatic or present with vague symptoms that can delay metastatic disease diagnosis. When they become clinically evident, their most common manifestations are that of obstructive jaundice and/or acute pancreatitis. Such cases usually involve patients with widespread, disseminated disease, so therapeutic management is mostly palliative and symptomatic.

Nonetheless, there have been a few sporadic reports of radical surgical interventions in selected patients.

In this report, we present the case of a 70-year-old patient with prostate cancer who presented with metachronous pancreatic metastases that became clinically evident with obstructive jaundice.

CASE REPORT

A 70-year-old Caucasian male patient was diagnosed in 1999 with high-grade prostate adenocarcinoma. The patient underwent radical prostatectomy with no further treatment. Ten years after the initial diagnosis the disease had progressed with mediastinal lymph node involvement, malignant pleural effusion and bone metastases. He then received androgen deprivation therapy for 18 months until his PSA became elevated.

On August 2010, a routine follow-up abdomen CT revealed multiple liver metastases and a borderline enlargement of periaortic, paraaortic and hilar lymph nodes. Twenty days later,

the patient was admitted to the hospital with high fever, rigor, recurrent vomiting resulting in incapability to eat, right upper quadrant abdominal pain and jaundice. Biological analysis revealed a significant elevation of bilirubin (Tot Bil: 8.7mg/dl, conjugated Bil: 6.14mg/dl), alkaline phosphatase (3N) and gamma-glutamyl transferase (12N). Serum prostatic specific antigen (PSA) remained unchanged (106ng/ml). Radiological examination of the abdomen with both an ultrasound and a computed tomography revealed cholelithiasis and a highly suspicious, well-circumscribed lesion of the pancreatic head, with both cystic and solid elements, resulting in distension of both intra- and extra-hepatic biliary tree and causing pyloric stenosis. A distorted Vater ampulla with adenomatoid appearance, as well as distension of the intra- and extra-hepatic biliary tree, secondary to stenosis of the distal common bile duct was revealed on a subsequent ERCP. Endoscopic sphincterectomy was performed and a metallic stent was placed in the common bile duct. Tru-cut biopsy of the pancreatic head lesion under US guidance revealed a low-grade adenocarcinoma, with positive immunohistochemical staining for PSA (Figure 1), supporting the diagnosis of pancreatic metastasis from prostate adenocarcinoma.

The liver function tests normalized and the patient started 1st line chemotherapy with docetaxel/prednisone every 3 weeks. After 6 cycles of chemotherapy, imaging studies showed an excellent partial response with 50% shrinkage of the pancreatic mass. The serum levels of PSA were normalized.

The patient is still alive and in excellent general condition (PS=0). He completed 10 cycles of Docetaxel/Prezolon on May 2011 and since then is followed regularly without clinical or radiological evidence of disease progression, despite a recent increase in PSA value (from nadir 3.14ng/ml to 6.36ng/ml).

DISCUSSION

Pancreatic cancer is one of the leading causes of cancer death, ranking 4th in the US and 6th in Europe [1]. The vast majority of pancreatic carcinomas are primary and, among these, over 90% are of ductal origin [2]. However, a variety of extrapancreatic tumours may involve the pancreas secondarily and may manifest different clinicopathological characteristics and outcomes [3, 4]. The route of metastases is lymphatic (28%); vascular (27%); lymphatic-vascular (19%); and by direct invasion (18%). Such lesions usually appear in patients between 60-70 years of age. The most common manifestation is that of a solitary mass, located in the head of the pancreas [5]. Primary and metastatic tumours are often indistinguishable by imaging studies since both may show a single mass in the pancreas and have regional lymphadenopathy [6].

Symptoms caused by metastatic pancreatic lesions are variable and most patients are free of organ-specific complaints. Metastatic disease is usually incidentally detected on abdominal CT scan during the follow-up period. Those patients that do have clinical manifestations may present with abdominal or back pain, nausea, weight loss, jaundice, gastrointestinal haemorrhage or intestinal obstruction [8]. Moreover, whenever the pancreatic metastatic lesion directly invades the pancreatic duct epithelium, it may clinically mimic primary pancreatic adenocarcinoma or, less commonly, induce acute pancreatitis [8, 9].

The diagnosis is usually confirmed by percutaneous fine needle aspiration of the pancreatic lesion under CT guidance; or endoscopic ultrasound (EUS); or by cytological examination of brushing specimens obtained during endoscopic retrograde cholangiopancreatography (ERCP) [9, 10].

The incidence of pancreatic metastases in autopsy series performed in patients with malignant neoplasms range from 1.6-11%. Renal cell carcinoma is the most common primary tumour, followed by lung cancer, breast cancer, and more rarely, melanoma, carcinoma of gastrointestinal origin (including gastric cancer, colon cancer and GIST), ovarian cancer and soft-tissue sarcoma. Solitary pancreatic masses can be classified as secondary tumours to the pancreas in only 2% of the cases, and they are frequently misdiagnosed as primary pancreatic cancers [3-7].

The main site of metastasis in prostatic adenocarcinoma is the bone. Most atypical prostate carcinoma metastases are well-defined in the presence of known advanced disease [11]. To our knowledge, only three cases of pancreatic metastasis from prostate cancer have been previously

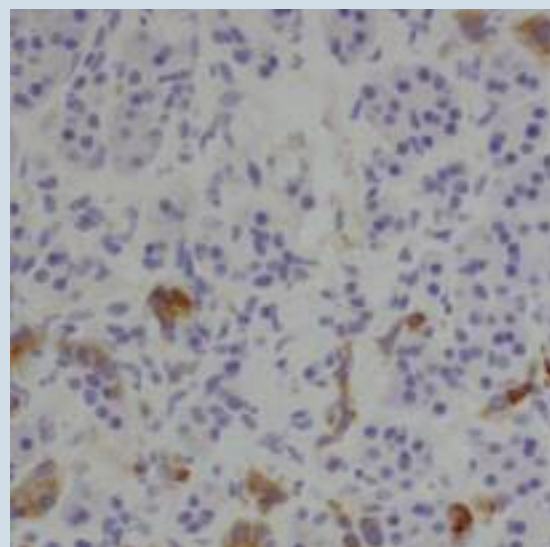
reported [4, 5, 12].

The time interval between primary carcinoma initial diagnosis and the detection of pancreatic metastasis varies widely. Diagnosis is frequently simultaneous (within 1 year) or intermediate (within 3 years). Only in rare cases is pancreatic metastasis the first sign of malignant disease. Metastasis to the pancreas has been described up to 17 years following primary diagnosis in renal cell carcinoma [16].

This suggests that pancreatic tumours in patients with a history of non-pancreatic malignancy should always be considered as a potential metastatic lesion at an unusual site. If feasible, pathological confirmation should be obtained, as pancreatic metastases may clinically or radiologically mimic a pancreatic primary tumour. Although the differential diagnosis between a primary pancreatic cancer and metastases of other adenocarcinomas may be complex, using common pathological and immunohistochemical techniques may provide relevant information.

There have been several papers suggesting that pancreatectomy for metastatic lesions may result in improved survival rates and disease free intervals [13-16]. In highly selected cases, a radical surgical approach may be considered for the treatment of metastasis to the pancreas. This is especially true in cases with a long disease-free interval between resection of the primary carcinoma and discovery of the pancreatic metastasis and when no detectable metastases in other organs exist. For example, up to 80% of patients with pancreatic metastasis from renal cell carcinoma will have no other sites of metastatic disease [17]. In this setting, prolonged survival may be achieved with successful surgical resection.

Figure 1.
Positive PSA staining in the biopsy specimen



CONCLUSION

Symptomatic metastatic lesions of the pancreas from prostate cancer are extremely rare. Biopsy of the suspicious lesion is fundamental in order to achieve differential diagnosis from other primary pancreatic tumours.

Detection and characterization of pancreatic metastases may significantly influence patient management. Knowledge of unusual locations for metastatic spread will reduce diagnostic delay and lead to a timely delivery of an appropriate treatment.

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